

 **UBS FINANCIAL SERVICES INC.**

As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital
March 31, 2017	\$1,279,952,100	\$121,411,307	\$1,158,540,793
February 28, 2017	\$1,294,908,052	\$122,362,879	\$1,172,545,173
January 31, 2017	\$1,411,814,492	\$117,053,954	\$1,294,760,538
December 31, 2016*	\$1,338,859,966	\$119,563,458	\$1,219,296,508
November 30, 2016	\$1,317,017,630	\$121,184,560	\$1,195,833,070
October 31, 2016	\$1,216,041,190	\$116,356,214	\$1,099,684,976
September 30, 2016	\$1,164,721,970	\$122,560,855	\$1,042,161,115
August 31, 2016	\$1,222,001,315	\$123,443,028	\$1,098,558,287
July 31, 2016	\$1,226,290,583	\$127,318,406	\$1,098,972,177
June 30, 2016	\$1,135,456,616	\$124,867,259	\$1,010,589,357
May 31, 2016	\$1,139,418,975	\$119,285,171	\$1,020,133,804
April 30, 2016	\$1,200,745,424	\$119,607,368	\$1,081,138,056
March 31, 2016	\$1,188,087,565	\$115,804,552	\$1,072,283,013

* The Adjusted Net Capital, Net Capital Requirement, and Excess Net Capital have been adjusted since initially posted.
UBS Financial Services Inc. is a subsidiary of UBS Group AG.