

UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Thursday, May 25, 2017	119,614,480	85,656,471	33,958,009	40,589,701	13,189,314	27,400,387
Wednesday, May 24, 2017	121,441,779	85,107,121	36,334,658	41,191,812	13,911,976	27,279,836
Tuesday, May 23, 2017	122,733,027	85,965,984	36,767,043	41,267,450	14,126,820	27,140,630
Monday, May 22, 2017	123,065,094	85,852,091	37,213,003	41,013,488	13,730,289	27,283,199
Friday, May 19, 2017	135,298,874	97,916,945	37,381,929	40,432,232	13,292,959	27,139,273
Thursday, May 18, 2017	137,772,497	100,363,870	37,408,627	39,478,859	12,335,698	27,143,161
Wednesday, May 17, 2017	138,799,187	101,912,288	36,886,899	40,361,714	13,213,399	27,148,315
Tuesday, May 16, 2017	132,648,483	95,396,005	37,252,478	41,188,841	14,052,037	27,136,804
Monday, May 15, 2017	132,964,787	95,479,758	37,485,029	41,167,617	14,081,191	27,086,426
Friday, May 12, 2017	134,371,418	97,152,734	37,218,684	40,357,509	13,279,814	27,077,695
Thursday, May 11, 2017	134,639,163	97,425,666	37,213,497	41,014,915	13,940,058	27,074,857
Wednesday, May 10, 2017	134,016,374	97,074,195	36,942,179	40,577,278	13,501,907	27,075,370
Tuesday, May 09, 2017	133,400,615	97,261,845	36,138,770	41,117,583	14,042,084	27,075,499
Monday, May 08, 2017	133,168,708	95,835,025	37,333,683	40,736,776	13,615,779	27,120,996
Friday, May 05, 2017	133,053,877	95,730,430	37,323,447	41,161,171	14,036,996	27,124,175
Thursday, May 04, 2017	133,424,577	98,715,612	34,708,965	40,305,931	12,975,801	27,330,130
Wednesday, May 03, 2017	129,507,939	95,415,711	34,092,228	39,415,183	11,781,959	27,633,224
Tuesday, May 02, 2017	123,573,829	90,717,287	32,856,542	40,515,602	12,885,728	27,629,874
Monday, May 01, 2017	125,055,592	91,437,554	33,618,038	39,986,947	12,878,839	27,108,108
Friday, April 28, 2017	126,564,690	92,263,734	34,300,956	39,986,843	13,096,886	26,889,957
Thursday, April 27, 2017	126,184,830	91,878,627	34,306,203	40,466,207	13,577,378	26,888,829
Wednesday, April 26, 2017	126,838,182	93,056,379	33,781,803	40,654,812	13,765,750	26,889,062
Tuesday, April 25, 2017	126,963,739	92,649,485	34,314,254	40,994,931	14,186,085	26,808,846
Monday, April 24, 2017	121,462,593	88,438,790	33,023,803	40,162,922	13,332,781	26,830,141
Friday, April 21, 2017	125,337,758	91,940,357	33,397,401	37,958,978	11,138,232	26,820,746
Thursday, April 20, 2017	128,058,966	93,484,426	34,574,540	30,603,626	5,446,547	25,157,079
Wednesday, April 19, 2017	124,911,770	90,524,642	34,387,128	31,085,567	6,103,467	24,982,100
Tuesday, April 18, 2017	128,035,567	93,867,184	34,168,383	30,551,549	4,867,926	25,683,623
Monday, April 17, 2017	125,681,782	92,858,281	32,823,501	31,395,651	5,716,151	25,679,500
Friday, April 14, 2017	127,579,621	94,742,975	32,836,646	31,394,910	5,669,106	25,725,804
Thursday, April 13, 2017	127,575,530	94,739,993	32,835,537	31,394,086	5,668,650	25,725,436
Wednesday, April 12, 2017	127,539,663	95,250,959	32,288,704	31,085,176	4,843,348	26,241,828
Tuesday, April 11, 2017	127,633,575	93,668,356	33,965,219	31,774,912	5,446,118	26,328,794
Monday, April 10, 2017	127,620,218	93,994,194	33,626,024	31,773,118	5,319,164	26,453,954
Friday, April 07, 2017	129,192,996	94,643,009	34,549,987	32,367,479	5,853,595	26,513,884
Thursday, April 06, 2017	129,249,796	94,203,369	35,046,427	32,641,985	6,121,859	26,520,126
Wednesday, April 05, 2017	130,648,628	95,188,447	35,460,181	32,948,842	6,358,610	26,590,232
Tuesday, April 04, 2017	128,078,603	92,672,586	35,406,017	32,240,878	5,634,716	26,606,162
Monday, April 03, 2017	128,246,580	93,154,949	35,091,631	32,119,602	5,400,333	26,719,269
Friday, March 31, 2017	128,974,174	93,715,913	35,258,261	32,789,339	6,085,447	26,703,892

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Thursday, March 30, 2017	128,908,298	92,479,355	36,428,943	33,597,515	6,919,978	26,677,537
Wednesday, March 29, 2017	129,598,311	92,749,461	36,848,850	33,367,036	6,685,121	26,681,915
Tuesday, March 28, 2017	130,417,917	93,412,589	37,005,328	33,210,893	6,526,085	26,684,808
Monday, March 27, 2017	132,527,045	95,184,156	37,342,889	32,565,686	5,687,335	26,878,351
Friday, March 24, 2017	133,837,014	96,293,395	37,543,619	32,946,153	6,070,534	26,875,619
Thursday, March 23, 2017	134,963,138	95,925,698	39,037,440	33,051,529	6,177,041	26,874,488
Wednesday, March 22, 2017	134,577,594	95,811,598	38,765,996	33,029,831	6,128,781	26,901,050
Tuesday, March 21, 2017	135,085,795	98,630,769	36,455,026	32,902,278	5,804,009	27,098,269
Monday, March 20, 2017	132,251,691	94,732,033	37,519,658	33,460,213	6,367,701	27,092,512
Friday, March 17, 2017	131,083,977	93,152,344	37,931,633	33,756,944	6,764,676	26,992,268
Thursday, March 16, 2017	130,648,516	92,350,663	38,297,853	33,542,812	6,920,732	26,622,080
Wednesday, March 15, 2017	128,231,501	90,044,746	38,186,755	33,235,485	6,708,778	26,526,707
Tuesday, March 14, 2017	131,330,455	93,187,718	38,142,737	32,995,193	6,688,383	26,306,810
Monday, March 13, 2017	130,101,584	92,725,541	37,376,043	32,884,204	6,847,551	26,036,653
Friday, March 10, 2017	128,245,815	92,526,127	35,719,688	32,231,486	6,067,942	26,163,544
Thursday, March 09, 2017	130,262,740	94,870,751	35,391,989	31,860,713	5,244,348	26,616,365
Wednesday, March 08, 2017	130,709,012	95,325,224	35,383,788	32,222,360	5,565,253	26,657,107
Tuesday, March 07, 2017	130,496,648	95,117,495	35,379,153	32,139,346	5,197,561	26,941,785
Monday, March 06, 2017	131,153,004	96,596,756	34,556,248	32,741,044	5,727,952	27,013,092
Friday, March 03, 2017	130,793,396	95,587,616	35,205,780	33,102,952	6,090,185	27,012,767
Thursday, March 02, 2017	130,816,521	95,479,583	35,336,938	33,082,669	6,061,758	27,020,911
Wednesday, March 01, 2017	128,778,250	92,115,668	36,662,582	33,671,899	6,730,019	26,941,880
Tuesday, February 28, 2017	123,734,821	92,776,924	30,957,897	33,219,597	6,298,135	26,921,462
Monday, February 27, 2017	123,472,726	91,801,579	31,671,147	32,983,210	6,050,461	26,932,749
Friday, February 24, 2017	124,017,613	90,893,753	33,123,860	32,975,895	5,969,468	27,006,427
Thursday, February 23, 2017	125,284,454	92,495,076	32,789,378	32,587,906	5,054,613	27,533,293
Wednesday, February 22, 2017	116,596,449	85,278,217	31,318,232	33,827,660	6,226,435	27,601,225
Tuesday, February 21, 2017	116,915,372	85,438,975	31,476,397	34,037,025	6,510,812	27,526,213
Monday, February 20, 2017	118,998,500	85,889,704	33,108,796	34,083,288	6,508,217	27,575,071
Friday, February 17, 2017	118,992,891	85,887,235	33,105,656	33,338,151	5,764,595	27,573,556
Thursday, February 16, 2017	119,842,433	86,403,472	33,438,961	33,716,154	6,135,085	27,581,069
Wednesday, February 15, 2017	116,253,295	85,692,556	30,560,739	34,193,005	6,621,031	27,571,974
Tuesday, February 14, 2017	117,669,366	83,914,143	33,755,223	33,964,949	11,016,158	22,948,791
Monday, February 13, 2017	119,586,374	84,975,618	34,610,756	34,496,123	6,884,364	27,611,759
Friday, February 10, 2017	114,568,269	82,604,587	31,963,682	34,390,786	7,644,411	26,746,375
Thursday, February 09, 2017	117,782,302	84,846,952	32,935,350	32,494,337	5,978,472	26,515,865
Wednesday, February 08, 2017	116,679,741	84,469,826	32,209,915	33,027,280	6,802,702	26,224,578
Tuesday, February 07, 2017	117,402,179	84,445,080	32,957,099	32,434,286	6,208,871	26,225,415
Monday, February 06, 2017	113,622,604	84,323,868	29,298,736	32,790,751	6,563,428	26,227,323
Friday, February 03, 2017	114,045,379	84,296,661	29,748,718	32,284,986	5,447,387	26,837,599

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Thursday, February 02, 2017	117,021,653	86,297,027	30,724,626	33,210,218	6,302,209	26,908,009
Wednesday, February 01, 2017	117,508,971	86,578,541	30,930,430	33,744,982	6,763,000	26,981,982
Tuesday, January 31, 2017	116,990,572	86,168,918	30,821,654	33,994,283	7,012,495	26,981,788
Monday, January 30, 2017	118,063,285	86,958,382	31,104,903	32,685,469	5,578,027	27,107,442
Friday, January 27, 2017	115,287,861	84,042,322	31,245,539	33,205,551	6,096,303	27,109,248
Thursday, January 26, 2017	115,339,654	84,255,234	31,084,420	33,969,622	5,859,573	28,110,049
Wednesday, January 25, 2017	114,485,585	81,457,567	33,028,018	34,591,608	6,478,895	28,112,713
Tuesday, January 24, 2017	114,328,559	81,208,384	33,120,175	34,524,310	6,705,688	27,818,622
Monday, January 23, 2017	116,323,851	82,423,213	33,900,638	33,494,591	5,672,085	27,822,506
Friday, January 20, 2017	116,402,535	81,897,274	34,505,261	33,246,269	5,420,500	27,825,769
Thursday, January 19, 2017	116,997,687	84,325,998	32,671,689	33,135,179	5,273,367	27,861,812
Wednesday, January 18, 2017	115,933,323	84,245,979	31,687,344	33,302,577	5,432,872	27,869,705
Tuesday, January 17, 2017	116,542,252	85,167,314	31,374,938	33,086,262	4,605,863	28,480,399
Monday, January 16, 2017	115,967,860	82,566,450	33,401,410	33,824,888	5,636,942	28,187,946
Friday, January 13, 2017	115,976,819	82,567,177	33,409,642	34,170,106	5,977,179	28,192,927
Thursday, January 12, 2017	117,572,178	84,128,184	33,443,994	33,660,676	6,297,296	27,363,380
Wednesday, January 11, 2017	116,918,001	82,846,206	34,071,795	32,958,331	5,596,116	27,362,215
Tuesday, January 10, 2017	120,266,123	83,499,440	36,766,683	33,296,367	6,521,135	26,775,232
Monday, January 09, 2017	121,222,924	84,117,264	37,105,660	32,314,370	5,569,194	26,745,176
Friday, January 06, 2017	121,163,514	83,523,269	37,640,245	32,234,379	5,489,493	26,744,886
Thursday, January 05, 2017	122,107,305	84,896,601	37,210,704	32,119,556	5,368,995	26,750,561
Wednesday, January 04, 2017	121,267,890	83,996,071	37,271,819	32,489,975	6,054,922	26,435,053
Tuesday, January 03, 2017	123,075,322	85,915,516	37,159,806	31,505,125	5,074,275	26,430,850
Friday, December 30, 2016	125,526,186	87,719,071	37,807,115	31,713,501	5,274,561	26,438,940
Thursday, December 29, 2016	124,353,284	86,653,154	37,700,130	31,322,194	4,844,587	26,477,607
Wednesday, December 28, 2016	124,851,322	86,498,827	38,352,495	31,797,296	5,224,357	26,572,939
Tuesday, December 27, 2016	123,347,992	85,020,881	38,327,111	31,389,310	4,811,046	26,578,264
Monday, December 26, 2016	123,531,416	85,659,679	37,871,737	31,439,680	4,560,599	26,879,081
Friday, December 23, 2016	123,528,340	85,657,284	37,871,056	31,439,679	4,560,721	26,878,958
Thursday, December 22, 2016	128,173,323	85,935,265	42,238,058	31,884,831	5,006,550	26,878,281
Wednesday, December 21, 2016	132,211,302	85,831,472	46,379,830	32,532,696	4,962,935	27,569,761
Tuesday, December 20, 2016	131,459,462	84,960,026	46,499,436	32,518,956	4,659,662	27,859,294
Monday, December 19, 2016	119,245,742	87,783,823	31,461,919	32,421,202	3,950,672	28,470,530
Friday, December 16, 2016	121,818,345	88,744,265	33,074,080	32,820,531	4,519,804	28,300,727
Thursday, December 15, 2016	120,492,585	85,543,762	34,948,823	33,540,897	5,089,933	28,450,964
Wednesday, December 14, 2016	122,450,243	88,486,349	33,963,894	33,113,470	4,939,660	28,173,810
Tuesday, December 13, 2016	120,672,615	86,928,611	33,744,004	33,124,372	4,306,049	28,818,323
Monday, December 12, 2016	118,887,197	87,473,714	31,413,483	33,593,994	4,743,899	28,850,095
Friday, December 09, 2016	118,386,808	86,167,804	32,219,004	36,745,875	4,907,554	31,838,321
Thursday, December 08, 2016	120,636,719	86,912,185	33,724,534	39,521,906	4,633,528	34,888,378

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Wednesday, December 07, 2016	118,648,314	85,430,445	33,217,869	42,648,791	4,780,567	37,868,224
Tuesday, December 06, 2016	114,923,487	85,934,872	28,988,615	33,259,202	5,368,371	27,890,831
Monday, December 05, 2016	115,968,548	85,338,625	30,629,923	33,436,172	5,872,210	27,563,962
Friday, December 02, 2016	117,492,704	86,215,746	31,276,958	32,306,636	4,751,314	27,555,322
Thursday, December 01, 2016	117,643,990	85,040,930	32,603,060	32,613,701	5,223,063	27,390,638
Wednesday, November 30, 2016	116,818,587	84,253,893	32,564,694	32,026,413	4,042,944	27,983,469
Tuesday, November 29, 2016	116,818,586	84,253,892	32,564,694	32,026,468	4,042,943	27,983,525
Monday, November 28, 2016	118,101,736	83,974,779	34,126,957	33,153,675	5,190,972	27,962,703
Friday, November 25, 2016	110,613,485	81,223,345	29,390,140	33,042,886	5,855,168	27,187,718
Thursday, November 24, 2016	112,502,637	82,751,877	29,750,760	33,005,876	5,962,736	27,043,140
Wednesday, November 23, 2016	112,511,319	85,380,534	27,130,785	32,446,065	5,409,742	27,036,323
Tuesday, November 22, 2016	112,250,244	82,024,223	30,226,021	31,589,293	4,718,878	26,870,415
Monday, November 21, 2016	113,877,456	83,435,619	30,441,837	31,389,757	4,529,647	26,860,110
Friday, November 18, 2016	114,293,409	83,300,920	30,992,489	30,802,582	3,770,116	27,032,466
Thursday, November 17, 2016	114,259,732	82,063,533	32,196,199	31,061,965	4,064,552	26,997,413
Wednesday, November 16, 2016	115,499,081	83,410,124	32,088,957	30,888,840	3,493,281	27,395,559
Tuesday, November 15, 2016	115,458,126	82,022,111	33,436,015	31,322,451	4,119,043	27,203,408
Monday, November 14, 2016	116,986,390	84,635,433	32,350,957	31,471,889	4,267,371	27,204,518
Friday, November 11, 2016	117,237,270	84,701,761	32,535,509	31,126,874	4,139,968	26,986,906
Thursday, November 10, 2016	117,093,395	84,614,387	32,479,008	31,030,669	3,965,225	27,065,444
Wednesday, November 09, 2016	116,794,177	83,289,073	33,505,104	30,822,387	4,058,751	26,763,636
Tuesday, November 08, 2016	117,391,768	83,605,880	33,785,888	30,072,574	3,649,482	26,423,092
Monday, November 07, 2016	118,101,382	82,603,505	35,497,877	29,702,110	4,305,517	25,396,593
Friday, November 04, 2016	122,094,209	87,472,616	34,621,593	29,350,341	4,248,406	25,101,935
Thursday, November 03, 2016	121,527,966	87,546,891	33,981,075	29,348,532	5,331,020	24,017,512
Wednesday, November 02, 2016	120,703,466	87,480,199	33,223,267	28,911,062	5,342,705	23,568,357
Tuesday, November 01, 2016	120,344,189	87,799,063	32,545,126	28,899,879	5,396,745	23,503,134
Monday, October 31, 2016	118,580,854	85,696,088	32,884,766	28,506,933	5,487,788	23,019,145
Friday, October 28, 2016	117,938,900	85,723,133	32,215,767	28,297,799	5,337,632	22,960,167
Thursday, October 27, 2016	117,833,636	85,380,959	32,452,677	28,252,490	5,364,930	22,887,560
Wednesday, October 26, 2016	115,895,065	83,385,534	32,509,531	28,016,157	5,132,568	22,883,589
Tuesday, October 25, 2016	114,996,033	81,608,521	33,387,512	27,874,403	5,291,811	22,582,592
Monday, October 24, 2016	114,187,200	81,452,903	32,734,297	27,530,123	4,930,335	22,599,788
Friday, October 21, 2016	114,620,092	81,950,362	32,669,730	27,394,453	4,732,005	22,662,448
Thursday, October 20, 2016	113,877,877	81,086,232	32,791,645	27,485,031	4,775,622	22,709,409
Wednesday, October 19, 2016	113,062,519	79,930,760	33,131,759	27,680,678	4,919,094	22,761,584
Tuesday, October 18, 2016	114,513,291	80,795,547	33,717,744	27,709,114	4,913,900	22,795,214
Monday, October 17, 2016	115,169,365	81,918,506	33,250,859	27,867,097	5,074,223	22,792,874
Friday, October 14, 2016	114,629,941	82,478,763	32,151,178	27,682,518	4,632,114	23,050,404
Thursday, October 13, 2016	114,516,747	82,221,997	32,294,750	27,882,166	4,713,454	23,168,712

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Wednesday, October 12, 2016	116,891,351	83,543,721	33,347,630	28,075,126	5,006,254	23,068,872
Tuesday, October 11, 2016	116,994,388	83,224,288	33,770,100	27,896,428	4,899,582	22,996,846
Monday, October 10, 2016	116,525,278	82,325,404	34,199,874	28,094,327	5,147,413	22,946,914
Friday, October 07, 2016	117,407,884	84,095,253	33,312,631	28,036,158	5,083,940	22,952,218
Thursday, October 06, 2016	115,962,862	82,546,226	33,416,636	27,928,750	4,873,165	23,055,585
Wednesday, October 05, 2016	116,369,786	83,049,940	33,319,846	28,032,822	5,098,438	22,934,384
Tuesday, October 04, 2016	117,248,630	84,067,370	33,181,260	27,886,627	4,694,865	23,191,762
Monday, October 03, 2016	115,338,675	82,342,378	32,996,297	28,242,342	4,969,530	23,272,812
Friday, September 30, 2016	115,649,712	81,530,491	34,119,221	28,378,556	5,000,664	23,377,892
Thursday, September 29, 2016	117,296,960	82,192,349	35,104,611	28,354,486	3,817,920	24,536,566
Wednesday, September 28, 2016	116,231,732	80,821,083	35,410,649	28,409,254	3,816,492	24,592,762
Tuesday, September 27, 2016	117,269,755	83,178,631	34,091,124	28,382,915	3,276,441	25,106,474
Monday, September 26, 2016	116,768,768	80,904,444	35,864,324	28,456,797	3,431,633	25,025,164
Friday, September 23, 2016	117,949,880	82,787,181	35,162,699	28,441,045	3,348,012	25,093,033
Thursday, September 22, 2016	119,569,820	84,457,100	35,112,720	28,449,841	3,323,342	25,126,499
Wednesday, September 21, 2016	118,271,004	82,285,516	35,985,488	28,391,284	3,369,591	25,021,693
Tuesday, September 20, 2016	120,607,225	84,090,405	36,516,820	28,466,558	3,077,916	25,388,642
Monday, September 19, 2016	120,263,894	83,772,192	36,491,702	28,368,239	2,977,142	25,391,097
Friday, September 16, 2016	118,452,741	82,756,414	35,696,327	28,274,296	2,866,287	25,408,009
Thursday, September 15, 2016	117,582,916	83,261,877	34,321,039	28,343,487	3,931,741	24,411,746
Wednesday, September 14, 2016	118,258,169	85,915,883	32,342,286	28,499,759	4,361,880	24,137,879
Tuesday, September 13, 2016	116,859,739	84,083,931	32,775,808	28,236,921	4,413,155	23,823,766
Monday, September 12, 2016	115,131,737	83,654,885	31,476,852	28,263,987	4,432,729	23,831,258
Friday, September 09, 2016	117,768,728	85,984,099	31,784,629	28,187,260	4,358,923	23,828,337
Thursday, September 08, 2016	112,164,535	79,412,919	32,751,616	28,064,125	4,244,328	23,819,797
Wednesday, September 07, 2016	111,226,962	78,174,052	33,052,910	28,015,736	4,185,165	23,830,571
Tuesday, September 06, 2016	110,471,836	76,228,075	34,243,761	27,993,263	4,218,411	23,774,852
Monday, September 05, 2016	112,198,370	77,593,027	34,605,343	28,043,312	4,274,987	23,768,325
Friday, September 02, 2016	112,271,960	77,666,939	34,605,021	28,029,368	4,260,731	23,768,637
Thursday, September 01, 2016	113,740,400	79,420,033	34,320,367	28,214,259	4,515,051	23,699,208
Wednesday, August 31, 2016	114,559,527	80,121,711	34,437,816	28,041,736	4,419,855	23,621,881
Tuesday, August 30, 2016	113,950,081	79,611,407	34,338,674	27,939,351	4,287,616	23,651,735
Monday, August 29, 2016	112,672,759	78,424,768	34,247,991	27,959,323	4,303,332	23,655,991
Friday, August 26, 2016	103,022,672	67,945,062	35,077,610	27,949,227	4,264,545	23,684,682
Thursday, August 25, 2016	102,879,013	67,780,318	35,098,695	28,003,631	4,324,299	23,679,331
Wednesday, August 24, 2016	98,387,419	68,598,222	29,789,197	27,961,445	4,107,117	23,854,327
Tuesday, August 23, 2016	99,382,749	68,513,644	30,869,105	28,192,663	4,321,353	23,871,310
Monday, August 22, 2016	99,850,245	69,287,881	30,562,364	28,367,074	4,495,320	23,871,754
Friday, August 19, 2016	99,813,360	68,915,818	30,897,542	28,515,403	4,744,730	23,770,673
Thursday, August 18, 2016	99,289,449	68,457,581	30,831,868	28,525,207	4,697,759	23,827,448

 UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Wednesday, August 17, 2016	99,528,835	67,211,672	32,317,163	28,414,933	4,484,709	23,930,224
Tuesday, August 16, 2016	99,849,191	65,960,250	33,888,941	28,448,178	4,441,400	24,006,778
Monday, August 15, 2016	99,592,784	64,994,060	34,598,724	28,238,189	4,235,566	24,002,623
Friday, August 12, 2016	100,218,653	65,597,916	34,620,737	28,155,683	4,126,601	24,029,082
Thursday, August 11, 2016	101,292,000	65,210,718	36,081,282	28,563,013	4,384,225	24,178,788
Wednesday, August 10, 2016	101,685,698	65,574,517	36,111,181	28,616,745	4,433,599	24,183,146
Tuesday, August 09, 2016	107,009,572	65,962,653	41,046,919	28,496,421	4,301,958	24,194,463
Monday, August 08, 2016	112,466,083	65,310,824	47,155,259	28,684,725	4,463,685	24,221,040
Friday, August 05, 2016	112,018,780	64,541,662	47,477,118	28,627,951	4,282,278	24,345,673
Thursday, August 04, 2016	109,806,026	61,869,850	47,936,176	28,757,307	4,415,916	24,341,391
Wednesday, August 03, 2016	102,441,159	64,613,494	37,827,665	29,206,532	4,864,036	24,342,496
Tuesday, August 02, 2016	92,314,716	66,161,827	26,152,889	29,248,294	4,898,939	24,349,355
Monday, August 01, 2016	99,450,961	64,407,755	35,043,206	29,056,390	4,401,389	24,655,001
Friday, July 29, 2016	99,337,932	64,027,262	35,310,670	29,107,758	4,451,169	24,656,589
Thursday, July 28, 2016	99,291,542	63,391,457	35,900,085	28,880,530	4,304,224	24,576,306
Wednesday, July 27, 2016	99,638,700	63,952,065	35,686,635	28,629,804	4,206,707	24,423,097
Tuesday, July 26, 2016	99,913,824	64,058,537	35,855,287	29,101,225	4,676,219	24,425,006
Monday, July 25, 2016	99,557,874	65,081,820	34,476,054	29,062,494	4,635,947	24,426,547
Friday, July 22, 2016	98,850,998	64,414,640	34,436,358	29,132,892	4,320,783	24,812,109
Thursday, July 21, 2016	99,142,582	63,346,251	35,796,331	29,374,649	4,937,462	24,437,187
Wednesday, July 20, 2016	99,289,399	63,471,651	35,817,748	29,297,959	4,861,386	24,436,573
Tuesday, July 19, 2016	98,822,063	62,891,566	35,930,497	29,368,485	4,954,959	24,413,526
Monday, July 18, 2016	99,315,625	63,530,622	35,785,003	29,158,619	4,721,311	24,437,308
Friday, July 15, 2016	98,647,983	61,971,819	36,676,164	29,117,609	4,680,600	24,437,009
Thursday, July 14, 2016	99,404,491	61,956,293	37,448,198	29,118,571	4,730,245	24,388,326
Wednesday, July 13, 2016	99,546,863	62,921,964	36,624,899	29,015,340	4,906,839	24,108,501
Tuesday, July 12, 2016	98,545,222	61,994,300	36,550,922	28,893,394	4,858,023	24,035,371
Monday, July 11, 2016	98,727,136	62,639,222	36,087,914	28,279,592	4,129,346	24,150,246
Friday, July 08, 2016	98,021,218	62,066,689	35,954,529	28,209,274	4,057,592	24,151,682
Thursday, July 07, 2016	98,499,285	62,777,105	35,722,180	28,063,972	3,481,820	24,582,152
Wednesday, July 06, 2016	97,637,165	61,353,661	36,283,504	28,310,848	3,714,718	24,596,130
Tuesday, July 05, 2016	98,464,355	62,241,774	36,222,581	28,527,003	4,124,679	24,402,324
Monday, July 04, 2016	98,892,043	61,105,334	37,786,709	28,873,752	4,770,568	24,103,184
Friday, July 01, 2016	98,836,419	61,051,767	37,784,652	29,036,377	4,934,603	24,101,774
Thursday, June 30, 2016	99,455,525	60,955,229	38,500,296	28,650,218	4,557,796	24,092,422
Wednesday, June 29, 2016	99,153,292	60,468,884	38,684,408	28,624,416	4,558,373	24,066,043
Tuesday, June 28, 2016	99,229,711	62,029,050	37,200,661	28,531,526	4,518,018	24,013,508
Monday, June 27, 2016	99,326,310	60,743,106	38,583,204	27,959,325	3,947,637	24,011,688
Friday, June 24, 2016	100,035,719	59,813,996	40,221,723	28,040,147	3,853,588	24,186,559
Thursday, June 23, 2016	100,583,966	60,012,281	40,571,685	28,554,475	4,628,697	23,925,778

UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Wednesday, June 22, 2016	100,317,852	59,680,131	40,637,721	28,295,411	4,446,907	23,848,504
Tuesday, June 21, 2016	100,306,127	59,440,424	40,865,703	28,107,468	4,285,498	23,821,970
Monday, June 20, 2016	99,575,620	59,100,450	40,475,170	27,989,848	4,164,650	23,825,198
Friday, June 17, 2016	99,845,433	57,861,026	41,984,407	27,538,740	3,883,118	23,655,622
Thursday, June 16, 2016	100,691,668	58,456,274	42,235,394	27,451,380	3,797,406	23,653,974
Wednesday, June 15, 2016	101,669,278	58,755,340	42,913,938	27,978,496	4,313,469	23,665,027
Tuesday, June 14, 2016	102,066,688	58,289,246	43,777,442	27,504,743	3,887,745	23,616,998
Monday, June 13, 2016	109,590,937	60,996,547	48,594,390	28,285,845	4,925,567	23,360,278
Friday, June 10, 2016	102,868,020	58,790,316	44,077,704	27,741,003	4,285,234	23,455,769
Thursday, June 09, 2016	102,752,918	60,612,185	42,140,733	27,763,711	4,304,806	23,458,905
Wednesday, June 08, 2016	105,308,730	59,946,773	45,361,957	27,975,586	4,499,068	23,476,518
Tuesday, June 07, 2016	107,156,910	61,077,262	46,079,648	27,716,830	4,288,460	23,428,370
Monday, June 06, 2016	109,590,937	60,996,547	48,594,390	28,285,845	4,925,567	23,360,278
Friday, June 03, 2016	110,136,361	60,469,126	49,667,235	28,138,599	4,700,888	23,437,711
Thursday, June 02, 2016	112,759,641	62,906,292	49,853,349	27,935,143	4,580,559	23,354,584
Wednesday, June 01, 2016	113,574,072	63,492,225	50,081,847	28,016,549	4,681,036	23,335,513
Tuesday, May 31, 2016	114,931,985	67,258,274	47,673,711	28,112,265	4,777,447	23,334,818
Monday, May 30, 2016	115,094,596	66,652,645	48,441,951	28,189,521	4,861,935	23,327,586
Friday, May 27, 2016	115,177,088	66,737,019	48,440,069	28,127,432	4,801,110	23,326,322
Thursday, May 26, 2016	115,085,644	66,609,084	48,476,560	27,945,200	4,586,125	23,359,075