

 UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Wednesday, January 10, 2018	111,319,956	77,726,688	33,593,268	34,376,744	6,746,007	27,630,737
Tuesday, January 09, 2018	111,679,978	77,886,718	33,793,260	34,086,138	6,448,489	27,637,649
Monday, January 08, 2018	110,604,338	76,195,472	34,408,866	34,187,942	6,503,681	27,684,261
Friday, January 05, 2018	111,074,274	76,072,144	35,002,130	34,206,562	6,507,291	27,699,271
Thursday, January 04, 2018	108,258,613	73,799,677	34,458,936	34,533,442	6,928,339	27,605,103
Wednesday, January 03, 2018	110,112,014	76,384,082	33,727,932	34,287,438	7,145,051	27,142,387
Tuesday, January 02, 2018	112,110,281	76,384,775	35,725,506	34,633,861	7,474,007	27,159,854
Friday, December 29, 2017	114,391,348	78,456,139	35,935,209	34,702,047	7,552,268	27,149,779
Thursday, December 28, 2017	113,761,098	79,013,243	34,747,855	34,813,679	8,034,979	26,778,700
Wednesday, December 27, 2017	114,132,360	79,084,954	35,047,406	34,445,433	7,842,296	26,603,137
Tuesday, December 26, 2017	114,289,309	79,156,070	35,133,239	34,009,029	7,694,227	26,314,802
Monday, December 25, 2017	115,279,086	80,161,930	35,117,156	34,010,768	7,852,102	26,158,666
Friday, December 22, 2017	115,277,415	80,160,111	35,117,304	34,004,385	7,852,824	26,151,561
Thursday, December 21, 2017	115,529,499	79,544,146	35,985,353	33,751,811	7,975,106	25,776,705
Wednesday, December 20, 2017	116,509,214	80,718,687	35,790,527	33,420,191	8,127,556	25,292,635
Tuesday, December 19, 2017	116,514,037	83,411,366	33,102,671	32,988,717	7,632,992	25,355,726
Monday, December 18, 2017	114,605,543	82,377,323	32,228,220	32,617,946	7,426,374	25,191,572
Friday, December 15, 2017	115,313,439	81,581,831	33,731,608	32,521,975	7,648,061	24,873,914
Thursday, December 14, 2017	113,517,790	82,522,464	30,995,326	32,145,538	7,243,006	24,902,532
Wednesday, December 13, 2017	111,691,960	80,058,580	31,633,380	31,820,095	6,897,714	24,922,381
Tuesday, December 12, 2017	113,368,685	81,762,180	31,606,505	31,505,779	6,627,852	24,877,926
Monday, December 11, 2017	113,665,174	82,017,847	31,647,327	31,446,164	6,465,223	24,980,941
Friday, December 08, 2017	115,720,479	82,666,516	33,053,963	30,986,702	6,011,465	24,975,237
Thursday, December 07, 2017	117,278,867	83,533,734	33,745,133	30,939,075	5,959,750	24,979,325
Wednesday, December 06, 2017	113,376,496	82,375,620	31,000,876	30,890,518	5,875,697	25,014,820
Tuesday, December 05, 2017	114,106,638	83,549,108	30,557,530	30,979,804	5,082,860	25,896,944
Monday, December 04, 2017	114,107,486	81,557,187	32,550,299	32,612,666	6,685,815	25,926,851
Friday, December 01, 2017	113,524,525	81,211,848	32,312,677	32,618,445	6,660,690	25,957,756
Thursday, November 30, 2017	123,062,973	90,568,525	32,494,448	32,380,591	6,420,063	25,960,527
Wednesday, November 29, 2017	124,219,140	90,635,843	33,583,297	32,406,366	6,262,325	26,144,040
Tuesday, November 28, 2017	122,675,287	88,696,178	33,979,109	32,544,421	5,955,040	26,589,381
Monday, November 27, 2017	120,916,894	88,042,449	32,874,445	33,335,718	6,721,626	26,614,093
Friday, November 24, 2017	121,799,513	90,285,743	31,513,770	33,691,339	6,937,001	26,754,338
Thursday, November 23, 2017	122,049,589	89,024,089	33,025,500	33,458,497	6,924,059	26,534,438
Wednesday, November 22, 2017	122,051,173	89,024,853	33,026,320	33,406,645	6,882,806	26,523,839
Tuesday, November 21, 2017	123,930,852	90,509,768	33,421,084	33,135,799	6,645,964	26,489,835
Monday, November 20, 2017	126,612,336	92,812,115	33,800,221	32,784,094	6,298,395	26,485,699
Friday, November 17, 2017	126,496,727	92,602,765	33,893,962	32,639,257	6,129,255	26,510,002
Thursday, November 16, 2017	126,233,149	92,389,861	33,843,288	32,438,438	5,852,800	26,585,638
Wednesday, November 15, 2017	127,627,179	93,680,677	33,946,502	32,614,710	6,025,009	26,589,701

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Tuesday, November 14, 2017	127,807,805	94,427,470	33,380,335	32,591,712	5,862,286	26,729,426
Monday, November 13, 2017	127,126,997	94,745,713	32,381,284	33,232,505	6,555,332	26,677,173
Friday, November 10, 2017	128,001,002	95,413,428	32,587,574	32,776,915	5,969,494	26,807,421
Thursday, November 09, 2017	125,164,633	92,317,956	32,846,677	32,767,252	5,966,568	26,800,684
Wednesday, November 08, 2017	124,038,368	91,047,976	32,990,392	32,810,226	6,030,966	26,779,259
Tuesday, November 07, 2017	123,989,715	91,265,767	32,723,948	32,693,376	5,437,441	27,255,935
Monday, November 06, 2017	124,351,981	90,043,090	34,308,891	33,389,363	6,078,986	27,310,377
Friday, November 03, 2017	120,875,243	88,067,128	32,808,115	33,215,073	5,905,010	27,310,064
Thursday, November 02, 2017	120,966,129	87,822,507	33,143,622	33,364,673	6,032,368	27,332,305
Wednesday, November 01, 2017	122,865,243	89,571,784	33,293,459	33,385,410	6,062,443	27,322,967
Tuesday, October 31, 2017	120,255,744	88,608,894	31,646,850	33,096,214	5,792,880	27,303,334
Monday, October 30, 2017	120,704,445	89,155,706	31,548,739	33,114,005	5,817,591	27,296,414
Friday, October 27, 2017	121,724,460	90,142,654	31,581,806	33,005,296	5,510,025	27,495,271
Thursday, October 26, 2017	125,390,834	92,861,345	32,529,489	33,735,942	6,221,188	27,514,754
Wednesday, October 25, 2017	124,746,685	92,883,387	31,863,298	33,947,671	6,367,153	27,580,518
Tuesday, October 24, 2017	122,967,014	90,710,711	32,256,303	33,997,111	6,427,732	27,569,379
Monday, October 23, 2017	121,742,331	89,288,275	32,454,056	33,731,475	6,181,186	27,550,289
Friday, October 20, 2017	120,699,580	88,299,380	32,400,200	33,591,903	5,989,377	27,602,526
Thursday, October 19, 2017	120,155,083	86,982,417	33,172,666	33,550,699	5,881,497	27,669,202
Wednesday, October 18, 2017	119,048,425	88,088,175	30,960,250	33,636,987	5,942,969	27,694,018
Tuesday, October 17, 2017	118,999,273	86,741,345	32,257,928	33,641,457	5,960,480	27,680,977
Monday, October 16, 2017	119,174,992	86,314,361	32,860,631	34,138,782	6,985,496	27,153,286
Friday, October 13, 2017	120,306,909	86,271,591	34,035,318	33,406,217	6,334,798	27,071,419
Thursday, October 12, 2017	116,701,983	84,441,179	32,260,804	33,545,275	6,467,317	27,077,959
Wednesday, October 11, 2017	116,490,449	83,812,598	32,677,851	33,281,271	6,195,755	27,085,516
Tuesday, October 10, 2017	117,689,496	84,259,054	33,430,442	33,151,353	6,222,377	26,928,975
Monday, October 09, 2017	118,880,349	85,331,365	33,548,984	32,788,030	5,883,275	26,904,754
Friday, October 06, 2017	118,868,132	85,073,526	33,794,606	32,830,953	5,934,921	26,896,032
Thursday, October 05, 2017	115,096,167	81,294,475	33,801,692	32,960,603	6,293,454	26,667,149
Wednesday, October 04, 2017	112,149,147	80,099,081	32,050,066	32,381,263	5,684,720	26,696,542
Tuesday, October 03, 2017	112,576,517	80,819,788	31,756,729	32,362,304	5,384,179	26,978,125
Monday, October 02, 2017	113,444,496	79,806,079	33,638,417	32,217,549	5,242,276	26,975,273
Friday, September 29, 2017	111,117,576	79,794,105	31,323,471	32,185,657	5,097,972	27,087,685
Thursday, September 28, 2017	112,853,850	79,888,997	32,964,853	32,345,884	5,769,751	26,576,133
Wednesday, September 27, 2017	112,706,483	79,685,909	33,020,574	31,994,542	5,433,026	26,561,516
Tuesday, September 26, 2017	113,401,841	80,712,615	32,689,226	31,856,311	5,186,808	26,669,502
Monday, September 25, 2017	113,524,648	80,595,522	32,929,126	31,934,867	5,300,630	26,634,237
Friday, September 22, 2017	113,291,552	80,231,984	33,059,568	32,055,748	5,361,834	26,693,913
Thursday, September 21, 2017	113,739,220	81,054,404	32,684,816	32,200,936	5,485,995	26,714,941
Wednesday, September 20, 2017	113,474,711	80,352,153	33,122,558	32,331,328	5,645,216	26,686,112

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Tuesday, September 19, 2017	112,947,549	79,806,430	33,141,119	32,413,378	5,684,862	26,728,517
Monday, September 18, 2017	113,605,176	79,312,300	34,292,876	32,364,803	5,656,647	26,708,156
Friday, September 15, 2017	113,961,555	79,823,456	34,138,099	32,187,914	5,483,752	26,704,162
Thursday, September 14, 2017	117,321,539	80,622,810	36,698,729	32,049,771	5,251,032	26,798,739
Wednesday, September 13, 2017	115,232,580	81,069,395	34,163,185	32,204,816	5,078,511	27,126,304
Tuesday, September 12, 2017	111,054,527	79,208,154	31,846,373	32,656,035	5,546,423	27,109,613
Monday, September 11, 2017	112,124,236	77,366,932	34,757,304	32,764,517	5,666,097	27,098,420
Friday, September 08, 2017	109,161,602	78,635,377	30,526,225	32,526,534	5,298,370	27,228,164
Thursday, September 07, 2017	109,528,687	77,553,082	31,975,605	33,241,639	5,904,933	27,336,706
Wednesday, September 06, 2017	110,470,275	77,901,242	32,569,033	33,319,459	6,168,050	27,151,409
Tuesday, September 05, 2017	111,095,083	78,146,521	32,948,562	33,326,794	6,120,122	27,206,671
Monday, September 04, 2017	110,341,995	76,553,550	33,788,445	33,511,888	6,577,021	26,934,867
Friday, September 01, 2017	110,348,394	76,558,727	33,789,667	33,257,027	6,337,561	26,919,466
Thursday, August 31, 2017	110,473,548	76,657,100	33,816,448	33,040,309	6,104,955	26,935,353
Wednesday, August 30, 2017	113,094,861	79,832,510	33,262,351	32,926,666	5,950,381	26,976,285
Tuesday, August 29, 2017	112,977,352	79,273,135	33,704,217	34,505,112	5,960,075	28,545,037
Monday, August 28, 2017	114,169,321	80,398,730	33,770,591	34,127,117	5,572,657	28,554,459
Friday, August 25, 2017	115,117,654	81,925,781	33,191,873	34,121,693	5,610,066	28,511,626
Thursday, August 24, 2017	113,234,400	82,256,570	30,977,830	34,221,270	6,086,486	28,134,784
Wednesday, August 23, 2017	113,261,390	82,028,940	31,232,450	33,782,231	5,601,107	28,181,124
Tuesday, August 22, 2017	112,704,211	80,976,708	31,727,503	33,875,869	5,757,021	28,118,849
Monday, August 21, 2017	115,105,511	83,298,228	31,807,283	33,904,192	5,861,914	28,042,279
Friday, August 18, 2017	116,231,487	83,910,821	32,320,666	33,561,598	5,514,905	28,046,694
Thursday, August 17, 2017	116,128,366	83,991,616	32,136,750	33,594,101	5,553,371	28,040,730
Wednesday, August 16, 2017	112,299,806	78,650,522	33,649,284	33,820,922	5,909,823	27,911,099
Tuesday, August 15, 2017	114,434,179	80,144,226	34,289,953	33,161,009	5,605,482	27,555,527
Monday, August 14, 2017	114,600,594	79,714,546	34,886,048	33,195,565	6,016,929	27,178,636
Friday, August 11, 2017	116,620,423	79,426,397	37,194,026	35,231,906	6,016,934	29,214,971
Thursday, August 10, 2017	117,037,777	80,470,744	36,567,033	35,363,840	6,172,246	29,191,593
Wednesday, August 09, 2017	113,417,147	76,379,877	37,037,270	35,524,485	6,344,118	29,180,367
Tuesday, August 08, 2017	113,413,530	78,989,479	34,424,051	35,735,372	6,926,381	28,808,991
Monday, August 07, 2017	112,999,499	78,416,012	34,583,487	35,337,423	6,509,474	28,827,949
Friday, August 04, 2017	111,346,033	80,537,920	30,808,113	35,112,773	6,297,102	28,815,671
Thursday, August 03, 2017	111,149,448	80,207,143	30,942,305	35,026,684	6,281,692	28,744,992
Wednesday, August 02, 2017	110,733,920	79,651,378	31,082,542	35,096,359	6,355,889	28,740,470
Tuesday, August 01, 2017	111,061,174	79,988,921	31,072,253	35,030,366	6,314,683	28,715,683
Monday, July 31, 2017	112,529,176	79,294,517	33,234,659	35,048,436	6,853,984	28,194,452
Friday, July 28, 2017	112,721,926	79,031,608	33,690,318	34,805,762	6,657,073	28,148,689
Thursday, July 27, 2017	113,076,064	79,243,791	33,832,273	34,778,546	6,684,185	28,094,361
Wednesday, July 26, 2017	112,537,668	78,613,990	33,923,678	34,852,094	6,824,476	28,027,618

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Tuesday, July 25, 2017	113,233,048	79,241,518	33,991,530	34,365,721	7,313,895	27,051,825
Monday, July 24, 2017	115,650,942	81,030,347	34,620,595	33,236,386	6,297,496	26,938,890
Friday, July 21, 2017	115,559,273	80,862,307	34,696,966	33,129,839	6,176,701	26,953,138
Thursday, July 20, 2017	116,155,387	82,012,015	34,143,372	32,949,630	5,989,119	26,960,511
Wednesday, July 19, 2017	116,675,848	81,701,657	34,974,191	32,978,672	5,982,274	26,996,398
Tuesday, July 18, 2017	115,667,996	82,847,207	32,820,789	33,266,824	6,391,637	26,875,187
Monday, July 17, 2017	116,375,876	83,639,380	32,736,496	33,237,315	6,240,924	26,996,391
Friday, July 14, 2017	117,455,220	84,023,638	33,431,582	32,926,546	6,147,447	26,779,099
Thursday, July 13, 2017	118,939,187	85,589,028	33,350,159	32,686,590	5,866,228	26,820,362
Wednesday, July 12, 2017	119,531,895	85,916,597	33,615,298	34,806,818	5,889,097	28,917,721
Tuesday, July 11, 2017	120,993,922	87,089,121	33,904,801	37,820,908	5,827,303	31,993,605
Monday, July 10, 2017	121,812,217	88,019,064	33,793,153	41,518,131	5,690,356	35,827,775
Friday, July 07, 2017	122,170,034	87,980,160	34,189,874	41,629,027	10,441,071	31,187,956
Thursday, July 06, 2017	123,695,375	90,058,247	33,637,128	44,700,568	10,477,929	34,222,639
Wednesday, July 05, 2017	124,374,527	91,571,384	32,803,143	48,406,739	10,195,512	38,211,227
Tuesday, July 04, 2017	126,196,694	89,165,049	37,031,645	48,583,225	10,378,796	38,204,429
Monday, July 03, 2017	126,206,639	89,174,049	37,032,590	48,768,548	10,563,755	38,204,793
Friday, June 30, 2017	122,349,028	88,667,637	33,681,391	53,734,125	10,661,302	43,072,823
Thursday, June 29, 2017	121,757,318	89,268,362	32,488,956	53,678,033	27,163,912	26,514,121
Wednesday, June 28, 2017	119,408,770	86,356,523	33,052,247	51,333,796	24,971,878	26,361,918
Tuesday, June 27, 2017	121,615,295	88,228,551	33,386,744	50,172,720	23,968,430	26,204,290
Monday, June 26, 2017	120,373,668	86,571,046	33,802,622	44,964,214	18,987,178	25,977,036
Friday, June 23, 2017	118,607,259	86,026,383	32,580,876	45,213,454	19,236,060	25,977,394
Thursday, June 22, 2017	119,279,196	86,442,059	32,837,137	44,957,249	18,876,209	26,081,040
Wednesday, June 21, 2017	119,627,097	86,091,320	33,535,777	45,303,658	19,222,359	26,081,299
Tuesday, June 20, 2017	120,735,318	86,425,718	34,309,600	45,085,999	19,360,030	25,725,969
Monday, June 19, 2017	116,133,786	85,047,684	31,086,102	46,560,626	20,588,071	25,972,555
Friday, June 16, 2017	118,502,117	85,435,215	33,066,902	46,003,458	20,018,263	25,985,195
Thursday, June 15, 2017	118,813,833	85,534,067	33,279,766	46,469,721	20,485,463	25,984,258
Wednesday, June 14, 2017	118,453,379	84,521,064	33,932,315	43,521,062	17,201,282	26,319,781
Tuesday, June 13, 2017	115,483,139	84,524,726	30,958,413	45,458,112	19,130,940	26,327,172
Monday, June 12, 2017	117,608,028	85,692,230	31,915,798	45,125,888	18,496,272	26,629,616
Friday, June 09, 2017	117,729,281	85,184,072	32,545,209	46,171,187	19,752,239	26,418,948
Thursday, June 08, 2017	117,304,514	84,436,276	32,868,238	45,401,277	19,068,650	26,332,627
Wednesday, June 07, 2017	117,497,869	84,628,944	32,868,925	44,827,386	17,889,255	26,938,131
Tuesday, June 06, 2017	118,084,060	84,313,059	33,771,001	44,875,250	17,904,680	26,970,570
Monday, June 05, 2017	118,393,036	84,165,622	34,227,414	46,533,300	19,543,906	26,989,394
Friday, June 02, 2017	115,157,347	82,795,330	32,362,017	46,146,326	18,799,607	27,346,719
Thursday, June 01, 2017	116,143,689	84,621,216	31,522,473	47,850,016	20,515,665	27,334,351
Wednesday, May 31, 2017	128,792,024	95,232,029	33,559,995	37,648,793	10,313,342	27,335,451

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Tuesday, May 30, 2017	129,500,455	96,614,180	32,886,275	37,558,239	10,211,690	27,346,549
Monday, May 29, 2017	126,956,003	95,767,161	31,188,842	38,018,737	10,425,943	27,592,794
Friday, May 26, 2017	128,238,994	95,390,062	32,848,932	39,557,287	12,177,351	27,379,936
Thursday, May 25, 2017	119,614,480	85,656,471	33,958,009	40,589,701	13,189,314	27,400,387
Wednesday, May 24, 2017	121,441,779	85,107,121	36,334,658	41,191,812	13,911,976	27,279,836
Tuesday, May 23, 2017	122,733,027	85,965,984	36,767,043	41,267,450	14,126,820	27,140,630
Monday, May 22, 2017	123,065,094	85,852,091	37,213,003	41,013,488	13,730,289	27,283,199
Friday, May 19, 2017	135,298,874	97,916,945	37,381,929	40,432,232	13,292,959	27,139,273
Thursday, May 18, 2017	137,772,497	100,363,870	37,408,627	39,478,859	12,335,698	27,143,161
Wednesday, May 17, 2017	138,799,187	101,912,288	36,886,899	40,361,714	13,213,399	27,148,315
Tuesday, May 16, 2017	132,648,483	95,396,005	37,252,478	41,188,841	14,052,037	27,136,804
Monday, May 15, 2017	132,964,787	95,479,758	37,485,029	41,167,617	14,081,191	27,086,426
Friday, May 12, 2017	134,371,418	97,152,734	37,218,684	40,357,509	13,279,814	27,077,695
Thursday, May 11, 2017	134,639,163	97,425,666	37,213,497	41,014,915	13,940,058	27,074,857
Wednesday, May 10, 2017	134,016,374	97,074,195	36,942,179	40,577,278	13,501,907	27,075,370
Tuesday, May 09, 2017	133,400,615	97,261,845	36,138,770	41,117,583	14,042,084	27,075,499
Monday, May 08, 2017	133,168,708	95,835,025	37,333,683	40,736,776	13,615,779	27,120,996
Friday, May 05, 2017	133,053,877	95,730,430	37,323,447	41,161,171	14,036,996	27,124,175
Thursday, May 04, 2017	133,424,577	98,715,612	34,708,965	40,305,931	12,975,801	27,330,130
Wednesday, May 03, 2017	129,507,939	95,415,711	34,092,228	39,415,183	11,781,959	27,633,224
Tuesday, May 02, 2017	123,573,829	90,717,287	32,856,542	40,515,602	12,885,728	27,629,874
Monday, May 01, 2017	125,055,592	91,437,554	33,618,038	39,986,947	12,878,839	27,108,108
Friday, April 28, 2017	126,564,690	92,263,734	34,300,956	39,986,843	13,096,886	26,889,957
Thursday, April 27, 2017	126,184,830	91,878,627	34,306,203	40,466,207	13,577,378	26,888,829
Wednesday, April 26, 2017	126,838,182	93,056,379	33,781,803	40,654,812	13,765,750	26,889,062
Tuesday, April 25, 2017	126,963,739	92,649,485	34,314,254	40,994,931	14,186,085	26,808,846
Monday, April 24, 2017	121,462,593	88,438,790	33,023,803	40,162,922	13,332,781	26,830,141
Friday, April 21, 2017	125,337,758	91,940,357	33,397,401	37,958,978	11,138,232	26,820,746
Thursday, April 20, 2017	128,058,966	93,484,426	34,574,540	30,603,626	5,446,547	25,157,079
Wednesday, April 19, 2017	124,911,770	90,524,642	34,387,128	31,085,567	6,103,467	24,982,100
Tuesday, April 18, 2017	128,035,567	93,867,184	34,168,383	30,551,549	4,867,926	25,683,623
Monday, April 17, 2017	125,681,782	92,858,281	32,823,501	31,395,651	5,716,151	25,679,500
Friday, April 14, 2017	127,579,621	94,742,975	32,836,646	31,394,910	5,669,106	25,725,804
Thursday, April 13, 2017	127,575,530	94,739,993	32,835,537	31,394,086	5,668,650	25,725,436
Wednesday, April 12, 2017	127,539,663	95,250,959	32,288,704	31,085,176	4,843,348	26,241,828
Tuesday, April 11, 2017	127,633,575	93,668,356	33,965,219	31,774,912	5,446,118	26,328,794
Monday, April 10, 2017	127,620,218	93,994,194	33,626,024	31,773,118	5,319,164	26,453,954
Friday, April 07, 2017	129,192,996	94,643,009	34,549,987	32,367,479	5,853,595	26,513,884
Thursday, April 06, 2017	129,249,796	94,203,369	35,046,427	32,641,985	6,121,859	26,520,126
Wednesday, April 05, 2017	130,648,628	95,188,447	35,460,181	32,948,842	6,358,610	26,590,232

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Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Tuesday, April 04, 2017	128,078,603	92,672,586	35,406,017	32,240,878	5,634,716	26,606,162
Monday, April 03, 2017	128,246,580	93,154,949	35,091,631	32,119,602	5,400,333	26,719,269
Friday, March 31, 2017	128,974,174	93,715,913	35,258,261	32,789,339	6,085,447	26,703,892
Thursday, March 30, 2017	128,908,298	92,479,355	36,428,943	33,597,515	6,919,978	26,677,537
Wednesday, March 29, 2017	129,598,311	92,749,461	36,848,850	33,367,036	6,685,121	26,681,915
Tuesday, March 28, 2017	130,417,917	93,412,589	37,005,328	33,210,893	6,526,085	26,684,808
Monday, March 27, 2017	132,527,045	95,184,156	37,342,889	32,565,686	5,687,335	26,878,351
Friday, March 24, 2017	133,837,014	96,293,395	37,543,619	32,946,153	6,070,534	26,875,619
Thursday, March 23, 2017	134,963,138	95,925,698	39,037,440	33,051,529	6,177,041	26,874,488
Wednesday, March 22, 2017	134,577,594	95,811,598	38,765,996	33,029,831	6,128,781	26,901,050
Tuesday, March 21, 2017	135,085,795	98,630,769	36,455,026	32,902,278	5,804,009	27,098,269
Monday, March 20, 2017	132,251,691	94,732,033	37,519,658	33,460,213	6,367,701	27,092,512
Friday, March 17, 2017	131,083,977	93,152,344	37,931,633	33,756,944	6,764,676	26,992,268
Thursday, March 16, 2017	130,648,516	92,350,663	38,297,853	33,542,812	6,920,732	26,622,080
Wednesday, March 15, 2017	128,231,501	90,044,746	38,186,755	33,235,485	6,708,778	26,526,707
Tuesday, March 14, 2017	131,330,455	93,187,718	38,142,737	32,995,193	6,688,383	26,306,810
Monday, March 13, 2017	130,101,584	92,725,541	37,376,043	32,884,204	6,847,551	26,036,653
Friday, March 10, 2017	128,245,815	92,526,127	35,719,688	32,231,486	6,067,942	26,163,544
Thursday, March 09, 2017	130,262,740	94,870,751	35,391,989	31,860,713	5,244,348	26,616,365
Wednesday, March 08, 2017	130,709,012	95,325,224	35,383,788	32,222,360	5,565,253	26,657,107
Tuesday, March 07, 2017	130,496,648	95,117,495	35,379,153	32,139,346	5,197,561	26,941,785
Monday, March 06, 2017	131,153,004	96,596,756	34,556,248	32,741,044	5,727,952	27,013,092
Friday, March 03, 2017	130,793,396	95,587,616	35,205,780	33,102,952	6,090,185	27,012,767
Thursday, March 02, 2017	130,816,521	95,479,583	35,336,938	33,082,669	6,061,758	27,020,911
Wednesday, March 01, 2017	128,778,250	92,115,668	36,662,582	33,671,899	6,730,019	26,941,880
Tuesday, February 28, 2017	123,734,821	92,776,924	30,957,897	33,219,597	6,298,135	26,921,462
Monday, February 27, 2017	123,472,726	91,801,579	31,671,147	32,983,210	6,050,461	26,932,749
Friday, February 24, 2017	124,017,613	90,893,753	33,123,860	32,975,895	5,969,468	27,006,427
Thursday, February 23, 2017	125,284,454	92,495,076	32,789,378	32,587,906	5,054,613	27,533,293
Wednesday, February 22, 2017	116,596,449	85,278,217	31,318,232	33,827,660	6,226,435	27,601,225
Tuesday, February 21, 2017	116,915,372	85,438,975	31,476,397	34,037,025	6,510,812	27,526,213
Monday, February 20, 2017	118,998,500	85,889,704	33,108,796	34,083,288	6,508,217	27,575,071
Friday, February 17, 2017	118,992,891	85,887,235	33,105,656	33,338,151	5,764,595	27,573,556
Thursday, February 16, 2017	119,842,433	86,403,472	33,438,961	33,716,154	6,135,085	27,581,069
Wednesday, February 15, 2017	116,253,295	85,692,556	30,560,739	34,193,005	6,621,031	27,571,974
Tuesday, February 14, 2017	117,669,366	83,914,143	33,755,223	33,964,949	11,016,158	22,948,791
Monday, February 13, 2017	119,586,374	84,975,618	34,610,756	34,496,123	6,884,364	27,611,759
Friday, February 10, 2017	114,568,269	82,604,587	31,963,682	34,390,786	7,644,411	26,746,375
Thursday, February 09, 2017	117,782,302	84,846,952	32,935,350	32,494,337	5,978,472	26,515,865
Wednesday, February 08, 2017	116,679,741	84,469,826	32,209,915	33,027,280	6,802,702	26,224,578

 UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Tuesday, February 07, 2017	117,402,179	84,445,080	32,957,099	32,434,286	6,208,871	26,225,415
Monday, February 06, 2017	113,622,604	84,323,868	29,298,736	32,790,751	6,563,428	26,227,323
Friday, February 03, 2017	114,045,379	84,296,661	29,748,718	32,284,986	5,447,387	26,837,599
Thursday, February 02, 2017	117,021,653	86,297,027	30,724,626	33,210,218	6,302,209	26,908,009
Wednesday, February 01, 2017	117,508,971	86,578,541	30,930,430	33,744,982	6,763,000	26,981,982
Tuesday, January 31, 2017	116,990,572	86,168,918	30,821,654	33,994,283	7,012,495	26,981,788
Monday, January 30, 2017	118,063,285	86,958,382	31,104,903	32,685,469	5,578,027	27,107,442
Friday, January 27, 2017	115,287,861	84,042,322	31,245,539	33,205,551	6,096,303	27,109,248
Thursday, January 26, 2017	115,339,654	84,255,234	31,084,420	33,969,622	5,859,573	28,110,049
Wednesday, January 25, 2017	114,485,585	81,457,567	33,028,018	34,591,608	6,478,895	28,112,713
Tuesday, January 24, 2017	114,328,559	81,208,384	33,120,175	34,524,310	6,705,688	27,818,622
Monday, January 23, 2017	116,323,851	82,423,213	33,900,638	33,494,591	5,672,085	27,822,506
Friday, January 20, 2017	116,402,535	81,897,274	34,505,261	33,246,269	5,420,500	27,825,769
Thursday, January 19, 2017	116,997,687	84,325,998	32,671,689	33,135,179	5,273,367	27,861,812
Wednesday, January 18, 2017	115,933,323	84,245,979	31,687,344	33,302,577	5,432,872	27,869,705
Tuesday, January 17, 2017	116,542,252	85,167,314	31,374,938	33,086,262	4,605,863	28,480,399
Monday, January 16, 2017	115,967,860	82,566,450	33,401,410	33,824,888	5,636,942	28,187,946
Friday, January 13, 2017	115,976,819	82,567,177	33,409,642	34,170,106	5,977,179	28,192,927
Thursday, January 12, 2017	117,572,178	84,128,184	33,443,994	33,660,676	6,297,296	27,363,380
Wednesday, January 11, 2017	116,918,001	82,846,206	34,071,795	32,958,331	5,596,116	27,362,215