

 UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Thursday, May 10, 2018	179,358,428	146,751,119	32,607,309	32,650,495	4,811,545	27,838,950
Wednesday, May 09, 2018	178,669,538	145,910,159	32,759,379	32,352,354	4,536,972	27,815,382
Tuesday, May 08, 2018	177,654,450	144,921,050	32,733,400	32,163,686	4,350,179	27,813,507
Monday, May 07, 2018	177,516,671	137,406,010	40,110,661	32,368,225	4,524,472	27,843,753
Friday, May 04, 2018	180,697,983	146,590,499	34,107,484	32,410,314	4,551,820	27,858,494
Thursday, May 03, 2018	179,442,899	145,264,094	34,178,805	32,316,778	4,406,945	27,909,833
Wednesday, May 02, 2018	169,222,603	134,836,029	34,386,574	32,441,316	4,613,481	27,827,835
Tuesday, May 01, 2018	164,277,607	130,958,389	33,319,218	32,169,615	4,337,782	27,831,833
Monday, April 30, 2018	163,300,816	129,370,096	33,930,720	32,493,094	4,621,953	27,871,141
Friday, April 27, 2018	163,644,090	129,703,924	33,940,166	32,500,970	4,611,955	27,889,015
Thursday, April 26, 2018	163,997,193	130,045,902	33,951,291	33,122,861	5,236,867	27,885,994
Wednesday, April 25, 2018	164,013,281	129,995,582	34,017,699	33,329,192	5,414,177	27,915,015
Tuesday, April 24, 2018	142,118,350	108,143,890	33,974,460	33,463,659	5,521,456	27,942,203
Monday, April 23, 2018	133,776,470	101,096,718	32,679,752	33,296,438	5,363,552	27,932,886
Friday, April 20, 2018	133,466,320	100,799,986	32,666,334	33,523,473	5,556,621	27,966,852
Thursday, April 19, 2018	160,757,897	128,896,929	31,860,968	33,514,938	5,524,480	27,990,458
Wednesday, April 18, 2018	153,188,254	120,631,077	32,557,177	33,596,637	6,078,126	27,518,511
Tuesday, April 17, 2018	148,353,077	115,500,124	32,852,953	32,869,194	5,364,875	27,504,319
Monday, April 16, 2018	153,262,045	120,190,134	33,071,911	32,987,603	5,475,883	27,511,720
Friday, April 13, 2018	156,758,738	123,558,997	33,199,741	32,604,373	5,114,444	27,489,929
Thursday, April 12, 2018	156,808,182	123,045,068	33,763,114	32,547,300	5,243,371	27,303,929
Wednesday, April 11, 2018	154,965,456	121,168,937	33,796,519	33,155,407	5,835,329	27,320,078
Tuesday, April 10, 2018	154,719,312	120,530,760	34,188,552	33,196,163	6,162,704	27,033,459
Monday, April 09, 2018	155,447,027	124,414,032	31,032,995	32,681,776	5,737,574	26,944,202
Friday, April 06, 2018	154,909,783	123,695,950	31,213,833	32,438,327	5,639,186	26,799,141
Thursday, April 05, 2018	152,840,242	121,565,388	31,274,854	32,626,255	5,848,478	26,777,777
Wednesday, April 04, 2018	151,586,386	120,396,204	31,190,182	32,314,003	5,519,913	26,794,090
Tuesday, April 03, 2018	155,645,666	124,076,586	31,569,080	32,674,165	5,886,130	26,788,035
Monday, April 02, 2018	156,153,808	124,453,472	31,700,336	32,334,332	5,533,912	26,800,420
Friday, March 30, 2018	149,461,938	114,463,897	34,998,041	32,343,133	5,832,017	26,511,116
Thursday, March 29, 2018	149,462,127	114,464,096	34,998,031	32,336,168	5,832,080	26,504,088
Wednesday, March 28, 2018	156,367,706	121,345,730	35,021,976	32,180,922	5,654,201	26,526,721
Tuesday, March 27, 2018	145,375,144	108,454,077	36,921,067	32,144,173	5,581,888	26,562,285
Monday, March 26, 2018	140,271,894	102,809,682	37,462,212	31,998,415	5,195,098	26,803,317
Friday, March 23, 2018	150,595,469	110,463,320	40,132,149	32,131,702	5,312,516	26,819,186
Thursday, March 22, 2018	155,615,961	112,349,554	43,266,407	32,231,334	5,238,081	26,993,253
Wednesday, March 21, 2018	150,465,770	116,608,601	33,857,169	32,866,912	5,866,295	27,000,617
Tuesday, March 20, 2018	147,329,884	113,878,739	33,451,145	32,584,050	5,213,632	27,370,418
Monday, March 19, 2018	144,980,905	110,932,853	34,048,052	33,081,152	5,661,617	27,419,535
Friday, March 16, 2018	141,531,367	107,513,762	34,017,605	33,189,743	5,797,239	27,392,504
Thursday, March 15, 2018	140,303,176	106,590,890	33,712,286	33,315,297	5,903,816	27,411,481
Wednesday, March 14, 2018	130,085,312	97,932,848	32,152,464	33,638,987	6,116,301	27,522,686

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Tuesday, March 13, 2018	129,834,460	97,950,966	31,883,494	33,640,820	6,088,008	27,552,812
Monday, March 12, 2018	128,852,158	97,027,993	31,824,165	33,423,293	5,897,936	27,525,357
Friday, March 09, 2018	129,353,897	97,328,398	32,025,499	33,716,124	6,199,787	27,516,337
Thursday, March 08, 2018	134,362,166	102,476,790	31,885,376	33,072,401	5,242,191	27,830,210
Wednesday, March 07, 2018	137,243,570	105,730,259	31,513,311	33,685,146	5,814,053	27,871,093
Tuesday, March 06, 2018	137,464,265	105,379,358	32,084,907	33,994,413	6,121,950	27,872,463
Monday, March 05, 2018	138,912,496	106,481,230	32,431,266	33,477,046	5,631,653	27,845,393
Friday, March 02, 2018	141,540,870	109,868,688	31,672,182	33,525,655	5,539,607	27,986,048
Thursday, March 01, 2018	141,863,567	107,886,316	33,977,251	33,647,640	5,695,041	27,952,599
Wednesday, February 28, 2018	141,445,246	107,760,754	33,684,492	33,824,111	5,492,285	28,331,826
Tuesday, February 27, 2018	139,098,991	104,939,458	34,159,533	34,314,120	5,980,306	28,333,814
Monday, February 26, 2018	125,586,212	92,053,812	33,532,400	34,750,536	6,384,854	28,365,682
Friday, February 23, 2018	130,083,214	95,799,476	34,283,738	34,641,267	6,258,451	28,382,816
Thursday, February 22, 2018	131,335,580	99,951,981	31,383,599	35,070,540	6,674,422	28,396,118
Wednesday, February 21, 2018	133,537,501	101,614,162	31,923,339	34,938,219	6,795,077	28,143,142
Tuesday, February 20, 2018	131,925,921	99,553,630	32,372,291	34,849,465	6,690,562	28,158,903
Monday, February 19, 2018	129,104,388	96,707,501	32,396,887	35,017,612	6,866,144	28,151,468
Friday, February 16, 2018	129,098,163	96,700,109	32,398,054	35,470,918	7,314,313	28,156,605
Thursday, February 15, 2018	132,397,873	99,846,746	32,551,127	35,376,210	7,181,162	28,195,048
Wednesday, February 14, 2018	137,394,202	103,545,800	33,948,402	35,247,610	8,120,910	27,126,700
Tuesday, February 13, 2018	138,955,542	108,026,098	30,929,444	34,299,182	7,312,877	26,986,305
Monday, February 12, 2018	143,259,836	109,531,893	33,727,943	33,508,003	6,735,890	26,772,113
Friday, February 09, 2018	145,303,187	112,842,001	32,461,186	33,176,911	6,030,652	27,146,259
Thursday, February 08, 2018	149,086,403	115,345,645	33,740,758	33,661,245	6,364,458	27,296,787
Wednesday, February 07, 2018	137,041,257	105,332,736	31,708,521	33,702,053	6,085,288	27,616,765
Tuesday, February 06, 2018	133,142,023	100,662,341	32,479,682	34,626,136	6,948,802	27,677,334
Monday, February 05, 2018	143,009,803	110,201,449	32,808,354	35,284,125	7,692,097	27,592,028
Friday, February 02, 2018	125,544,069	91,870,259	33,673,810	34,724,261	7,109,315	27,614,946
Thursday, February 01, 2018	117,362,754	83,842,937	33,519,817	35,064,363	7,565,915	27,498,449
Wednesday, January 31, 2018	118,595,206	84,654,586	33,940,620	34,958,986	7,496,568	27,462,418
Tuesday, January 30, 2018	119,288,529	85,393,876	33,894,653	34,585,485	7,130,564	27,454,921
Monday, January 29, 2018	115,797,508	81,328,088	34,469,420	34,840,344	7,427,218	27,413,126
Friday, January 26, 2018	113,058,297	78,113,638	34,944,659	34,653,666	7,287,010	27,366,656
Thursday, January 25, 2018	108,889,170	77,889,028	31,000,142	34,840,556	7,516,009	27,324,547
Wednesday, January 24, 2018	108,963,566	76,224,459	32,739,107	34,830,628	7,691,622	27,139,006
Tuesday, January 23, 2018	110,856,843	78,043,405	32,813,438	33,617,012	6,071,436	27,545,576
Monday, January 22, 2018	111,154,729	77,859,680	33,295,049	34,286,517	6,755,243	27,531,274
Friday, January 19, 2018	114,468,526	81,095,378	33,373,148	34,154,235	6,636,280	27,517,955

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Thursday, January 18, 2018	116,009,073	82,637,736	33,371,337	34,276,329	6,753,421	27,522,908
Wednesday, January 17, 2018	114,166,707	80,388,558	33,778,149	34,015,876	6,300,654	27,715,222
Tuesday, January 16, 2018	109,933,101	82,156,333	27,776,768	34,278,725	6,504,096	27,774,629
Monday, January 15, 2018	108,804,140	75,095,154	33,708,986	34,984,890	7,211,190	27,773,700
Friday, January 12, 2018	108,810,473	75,104,991	33,705,482	34,439,598	6,700,048	27,739,550
Thursday, January 11, 2018	108,040,284	76,130,141	31,910,143	34,525,804	6,846,765	27,679,039
Wednesday, January 10, 2018	111,319,956	77,726,688	33,593,268	34,376,744	6,746,007	27,630,737
Tuesday, January 09, 2018	111,679,978	77,886,718	33,793,260	34,086,138	6,448,489	27,637,649
Monday, January 08, 2018	110,604,338	76,195,472	34,408,866	34,187,942	6,503,681	27,684,261
Friday, January 05, 2018	111,074,274	76,072,144	35,002,130	34,206,562	6,507,291	27,699,271
Thursday, January 04, 2018	108,258,613	73,799,677	34,458,936	34,533,442	6,928,339	27,605,103
Wednesday, January 03, 2018	110,112,014	76,384,082	33,727,932	34,287,438	7,145,051	27,142,387
Tuesday, January 02, 2018	112,110,281	76,384,775	35,725,506	34,633,861	7,474,007	27,159,854
Friday, December 29, 2017	114,391,348	78,456,139	35,935,209	34,702,047	7,552,268	27,149,779
Thursday, December 28, 2017	113,761,098	79,013,243	34,747,855	34,813,679	8,034,979	26,778,700
Wednesday, December 27, 2017	114,132,360	79,084,954	35,047,406	34,445,433	7,842,296	26,603,137
Tuesday, December 26, 2017	114,289,309	79,156,070	35,133,239	34,009,029	7,694,227	26,314,802
Monday, December 25, 2017	115,279,086	80,161,930	35,117,156	34,010,768	7,852,102	26,158,666
Friday, December 22, 2017	115,277,415	80,160,111	35,117,304	34,004,385	7,852,824	26,151,561
Thursday, December 21, 2017	115,529,499	79,544,146	35,985,353	33,751,811	7,975,106	25,776,705
Wednesday, December 20, 2017	116,509,214	80,718,687	35,790,527	33,420,191	8,127,556	25,292,635
Tuesday, December 19, 2017	116,514,037	83,411,366	33,102,671	32,988,717	7,632,992	25,355,726
Monday, December 18, 2017	114,605,543	82,377,323	32,228,220	32,617,946	7,426,374	25,191,572
Friday, December 15, 2017	115,313,439	81,581,831	33,731,608	32,521,975	7,648,061	24,873,914
Thursday, December 14, 2017	113,517,790	82,522,464	30,995,326	32,145,538	7,243,006	24,902,532
Wednesday, December 13, 2017	111,691,960	80,058,580	31,633,380	31,820,095	6,897,714	24,922,381
Tuesday, December 12, 2017	113,368,685	81,762,180	31,606,505	31,505,779	6,627,852	24,877,926
Monday, December 11, 2017	113,665,174	82,017,847	31,647,327	31,446,164	6,465,223	24,980,941
Friday, December 08, 2017	115,720,479	82,666,516	33,053,963	30,986,702	6,011,465	24,975,237
Thursday, December 07, 2017	117,278,867	83,533,734	33,745,133	30,939,075	5,959,750	24,979,325
Wednesday, December 06, 2017	113,376,496	82,375,620	31,000,876	30,890,518	5,875,697	25,014,820
Tuesday, December 05, 2017	114,106,638	83,549,108	30,557,530	30,979,804	5,082,860	25,896,944
Monday, December 04, 2017	114,107,486	81,557,187	32,550,299	32,612,666	6,685,815	25,926,851
Friday, December 01, 2017	113,524,525	81,211,848	32,312,677	32,618,445	6,660,690	25,957,756
Thursday, November 30, 2017	123,062,973	90,568,525	32,494,448	32,380,591	6,420,063	25,960,527
Wednesday, November 29, 2017	124,219,140	90,635,843	33,583,297	32,406,366	6,262,325	26,144,040
Tuesday, November 28, 2017	122,675,287	88,696,178	33,979,109	32,544,421	5,955,040	26,589,381
Monday, November 27, 2017	120,916,894	88,042,449	32,874,445	33,335,718	6,721,626	26,614,093
Friday, November 24, 2017	121,799,513	90,285,743	31,513,770	33,691,339	6,937,001	26,754,338
Thursday, November 23, 2017	122,049,589	89,024,089	33,025,500	33,458,497	6,924,059	26,534,438

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Wednesday, November 22, 2017	122,051,173	89,024,853	33,026,320	33,406,645	6,882,806	26,523,839
Tuesday, November 21, 2017	123,930,852	90,509,768	33,421,084	33,135,799	6,645,964	26,489,835
Monday, November 20, 2017	126,612,336	92,812,115	33,800,221	32,784,094	6,298,395	26,485,699
Friday, November 17, 2017	126,496,727	92,602,765	33,893,962	32,639,257	6,129,255	26,510,002
Thursday, November 16, 2017	126,233,149	92,389,861	33,843,288	32,438,438	5,852,800	26,585,638
Wednesday, November 15, 2017	127,627,179	93,680,677	33,946,502	32,614,710	6,025,009	26,589,701
Tuesday, November 14, 2017	127,807,805	94,427,470	33,380,335	32,591,712	5,862,286	26,729,426
Monday, November 13, 2017	127,126,997	94,745,713	32,381,284	33,232,505	6,555,332	26,677,173
Friday, November 10, 2017	128,001,002	95,413,428	32,587,574	32,776,915	5,969,494	26,807,421
Thursday, November 09, 2017	125,164,633	92,317,956	32,846,677	32,767,252	5,966,568	26,800,684
Wednesday, November 08, 2017	124,038,368	91,047,976	32,990,392	32,810,226	6,030,966	26,779,259
Tuesday, November 07, 2017	123,989,715	91,265,767	32,723,948	32,693,376	5,437,441	27,255,935
Monday, November 06, 2017	124,351,981	90,043,090	34,308,891	33,389,363	6,078,986	27,310,377
Friday, November 03, 2017	120,875,243	88,067,128	32,808,115	33,215,073	5,905,010	27,310,064
Thursday, November 02, 2017	120,966,129	87,822,507	33,143,622	33,364,673	6,032,368	27,332,305
Wednesday, November 01, 2017	122,865,243	89,571,784	33,293,459	33,385,410	6,062,443	27,322,967
Tuesday, October 31, 2017	120,255,744	88,608,894	31,646,850	33,096,214	5,792,880	27,303,334
Monday, October 30, 2017	120,704,445	89,155,706	31,548,739	33,114,005	5,817,591	27,296,414
Friday, October 27, 2017	121,724,460	90,142,654	31,581,806	33,005,296	5,510,025	27,495,271
Thursday, October 26, 2017	125,390,834	92,861,345	32,529,489	33,735,942	6,221,188	27,514,754
Wednesday, October 25, 2017	124,746,685	92,883,387	31,863,298	33,947,671	6,367,153	27,580,518
Tuesday, October 24, 2017	122,967,014	90,710,711	32,256,303	33,997,111	6,427,732	27,569,379
Monday, October 23, 2017	121,742,331	89,288,275	32,454,056	33,731,475	6,181,186	27,550,289
Friday, October 20, 2017	120,699,580	88,299,380	32,400,200	33,591,903	5,989,377	27,602,526
Thursday, October 19, 2017	120,155,083	86,982,417	33,172,666	33,550,699	5,881,497	27,669,202
Wednesday, October 18, 2017	119,048,425	88,088,175	30,960,250	33,636,987	5,942,969	27,694,018
Tuesday, October 17, 2017	118,999,273	86,741,345	32,257,928	33,641,457	5,960,480	27,680,977
Monday, October 16, 2017	119,174,992	86,314,361	32,860,631	34,138,782	6,985,496	27,153,286
Friday, October 13, 2017	120,306,909	86,271,591	34,035,318	33,406,217	6,334,798	27,071,419
Thursday, October 12, 2017	116,701,983	84,441,179	32,260,804	33,545,275	6,467,317	27,077,959
Wednesday, October 11, 2017	116,490,449	83,812,598	32,677,851	33,281,271	6,195,755	27,085,516
Tuesday, October 10, 2017	117,689,496	84,259,054	33,430,442	33,151,353	6,222,377	26,928,975
Monday, October 09, 2017	118,880,349	85,331,365	33,548,984	32,788,030	5,883,275	26,904,754
Friday, October 06, 2017	118,868,132	85,073,526	33,794,606	32,830,953	5,934,921	26,896,032
Thursday, October 05, 2017	115,096,167	81,294,475	33,801,692	32,960,603	6,293,454	26,667,149
Wednesday, October 04, 2017	112,149,147	80,099,081	32,050,066	32,381,263	5,684,720	26,696,542
Tuesday, October 03, 2017	112,576,517	80,819,788	31,756,729	32,362,304	5,384,179	26,978,125
Monday, October 02, 2017	113,444,496	79,806,079	33,638,417	32,217,549	5,242,276	26,975,273
Friday, September 29, 2017	111,117,576	79,794,105	31,323,471	32,185,657	5,097,972	27,087,685
Thursday, September 28, 2017	112,853,850	79,888,997	32,964,853	32,345,884	5,769,751	26,576,133

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Wednesday, September 27, 2017	112,706,483	79,685,909	33,020,574	31,994,542	5,433,026	26,561,516
Tuesday, September 26, 2017	113,401,841	80,712,615	32,689,226	31,856,311	5,186,808	26,669,502
Monday, September 25, 2017	113,524,648	80,595,522	32,929,126	31,934,867	5,300,630	26,634,237
Friday, September 22, 2017	113,291,552	80,231,984	33,059,568	32,055,748	5,361,834	26,693,913
Thursday, September 21, 2017	113,739,220	81,054,404	32,684,816	32,200,936	5,485,995	26,714,941
Wednesday, September 20, 2017	113,474,711	80,352,153	33,122,558	32,331,328	5,645,216	26,686,112
Tuesday, September 19, 2017	112,947,549	79,806,430	33,141,119	32,413,378	5,684,862	26,728,517
Monday, September 18, 2017	113,605,176	79,312,300	34,292,876	32,364,803	5,656,647	26,708,156
Friday, September 15, 2017	113,961,555	79,823,456	34,138,099	32,187,914	5,483,752	26,704,162
Thursday, September 14, 2017	117,321,539	80,622,810	36,698,729	32,049,771	5,251,032	26,798,739
Wednesday, September 13, 2017	115,232,580	81,069,395	34,163,185	32,204,816	5,078,511	27,126,304
Tuesday, September 12, 2017	111,054,527	79,208,154	31,846,373	32,656,035	5,546,423	27,109,613
Monday, September 11, 2017	112,124,236	77,366,932	34,757,304	32,764,517	5,666,097	27,098,420
Friday, September 08, 2017	109,161,602	78,635,377	30,526,225	32,526,534	5,298,370	27,228,164
Thursday, September 07, 2017	109,528,687	77,553,082	31,975,605	33,241,639	5,904,933	27,336,706
Wednesday, September 06, 2017	110,470,275	77,901,242	32,569,033	33,319,459	6,168,050	27,151,409
Tuesday, September 05, 2017	111,095,083	78,146,521	32,948,562	33,326,794	6,120,122	27,206,671
Monday, September 04, 2017	110,341,995	76,553,550	33,788,445	33,511,888	6,577,021	26,934,867
Friday, September 01, 2017	110,348,394	76,558,727	33,789,667	33,257,027	6,337,561	26,919,466
Thursday, August 31, 2017	110,473,548	76,657,100	33,816,448	33,040,309	6,104,955	26,935,353
Wednesday, August 30, 2017	113,094,861	79,832,510	33,262,351	32,926,666	5,950,381	26,976,285
Tuesday, August 29, 2017	112,977,352	79,273,135	33,704,217	34,505,112	5,960,075	28,545,037
Monday, August 28, 2017	114,169,321	80,398,730	33,770,591	34,127,117	5,572,657	28,554,459
Friday, August 25, 2017	115,117,654	81,925,781	33,191,873	34,121,693	5,610,066	28,511,626
Thursday, August 24, 2017	113,234,400	82,256,570	30,977,830	34,221,270	6,086,486	28,134,784
Wednesday, August 23, 2017	113,261,390	82,028,940	31,232,450	33,782,231	5,601,107	28,181,124
Tuesday, August 22, 2017	112,704,211	80,976,708	31,727,503	33,875,869	5,757,021	28,118,849
Monday, August 21, 2017	115,105,511	83,298,228	31,807,283	33,904,192	5,861,914	28,042,279
Friday, August 18, 2017	116,231,487	83,910,821	32,320,666	33,561,598	5,514,905	28,046,694
Thursday, August 17, 2017	116,128,366	83,991,616	32,136,750	33,594,101	5,553,371	28,040,730
Wednesday, August 16, 2017	112,299,806	78,650,522	33,649,284	33,820,922	5,909,823	27,911,099
Tuesday, August 15, 2017	114,434,179	80,144,226	34,289,953	33,161,009	5,605,482	27,555,527
Monday, August 14, 2017	114,600,594	79,714,546	34,886,048	33,195,565	6,016,929	27,178,636
Friday, August 11, 2017	116,620,423	79,426,397	37,194,026	35,231,906	6,016,934	29,214,971
Thursday, August 10, 2017	117,037,777	80,470,744	36,567,033	35,363,840	6,172,246	29,191,593
Wednesday, August 09, 2017	113,417,147	76,379,877	37,037,270	35,524,485	6,344,118	29,180,367
Tuesday, August 08, 2017	113,413,530	78,989,479	34,424,051	35,735,372	6,926,381	28,808,991
Monday, August 07, 2017	112,999,499	78,416,012	34,583,487	35,337,423	6,509,474	28,827,949
Friday, August 04, 2017	111,346,033	80,537,920	30,808,113	35,112,773	6,297,102	28,815,671
Thursday, August 03, 2017	111,149,448	80,207,143	30,942,305	35,026,684	6,281,692	28,744,992

 UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Wednesday, August 02, 2017	110,733,920	79,651,378	31,082,542	35,096,359	6,355,889	28,740,470
Tuesday, August 01, 2017	111,061,174	79,988,921	31,072,253	35,030,366	6,314,683	28,715,683
Monday, July 31, 2017	112,529,176	79,294,517	33,234,659	35,048,436	6,853,984	28,194,452
Friday, July 28, 2017	112,721,926	79,031,608	33,690,318	34,805,762	6,657,073	28,148,689
Thursday, July 27, 2017	113,076,064	79,243,791	33,832,273	34,778,546	6,684,185	28,094,361
Wednesday, July 26, 2017	112,537,668	78,613,990	33,923,678	34,852,094	6,824,476	28,027,618
Tuesday, July 25, 2017	113,233,048	79,241,518	33,991,530	34,365,721	7,313,895	27,051,825
Monday, July 24, 2017	115,650,942	81,030,347	34,620,595	33,236,386	6,297,496	26,938,890
Friday, July 21, 2017	115,559,273	80,862,307	34,696,966	33,129,839	6,176,701	26,953,138
Thursday, July 20, 2017	116,155,387	82,012,015	34,143,372	32,949,630	5,989,119	26,960,511
Wednesday, July 19, 2017	116,675,848	81,701,657	34,974,191	32,978,672	5,982,274	26,996,398
Tuesday, July 18, 2017	115,667,996	82,847,207	32,820,789	33,266,824	6,391,637	26,875,187
Monday, July 17, 2017	116,375,876	83,639,380	32,736,496	33,237,315	6,240,924	26,996,391
Friday, July 14, 2017	117,455,220	84,023,638	33,431,582	32,926,546	6,147,447	26,779,099
Thursday, July 13, 2017	118,939,187	85,589,028	33,350,159	32,686,590	5,866,228	26,820,362
Wednesday, July 12, 2017	119,531,895	85,916,597	33,615,298	34,806,818	5,889,097	28,917,721
Tuesday, July 11, 2017	120,993,922	87,089,121	33,904,801	37,820,908	5,827,303	31,993,605
Monday, July 10, 2017	121,812,217	88,019,064	33,793,153	41,518,131	5,690,356	35,827,775
Friday, July 07, 2017	122,170,034	87,980,160	34,189,874	41,629,027	10,441,071	31,187,956
Thursday, July 06, 2017	123,695,375	90,058,247	33,637,128	44,700,568	10,477,929	34,222,639
Wednesday, July 05, 2017	124,374,527	91,571,384	32,803,143	48,406,739	10,195,512	38,211,227
Tuesday, July 04, 2017	126,196,694	89,165,049	37,031,645	48,583,225	10,378,796	38,204,429
Monday, July 03, 2017	126,206,639	89,174,049	37,032,590	48,768,548	10,563,755	38,204,793
Friday, June 30, 2017	122,349,028	88,667,637	33,681,391	53,734,125	10,661,302	43,072,823
Thursday, June 29, 2017	121,757,318	89,268,362	32,488,956	53,678,033	27,163,912	26,514,121
Wednesday, June 28, 2017	119,408,770	86,356,523	33,052,247	51,333,796	24,971,878	26,361,918
Tuesday, June 27, 2017	121,615,295	88,228,551	33,386,744	50,172,720	23,968,430	26,204,290
Monday, June 26, 2017	120,373,668	86,571,046	33,802,622	44,964,214	18,987,178	25,977,036
Friday, June 23, 2017	118,607,259	86,026,383	32,580,876	45,213,454	19,236,060	25,977,394
Thursday, June 22, 2017	119,279,196	86,442,059	32,837,137	44,957,249	18,876,209	26,081,040
Wednesday, June 21, 2017	119,627,097	86,091,320	33,535,777	45,303,658	19,222,359	26,081,299
Tuesday, June 20, 2017	120,735,318	86,425,718	34,309,600	45,085,999	19,360,030	25,725,969
Monday, June 19, 2017	116,133,786	85,047,684	31,086,102	46,560,626	20,588,071	25,972,555
Friday, June 16, 2017	118,502,117	85,435,215	33,066,902	46,003,458	20,018,263	25,985,195
Thursday, June 15, 2017	118,813,833	85,534,067	33,279,766	46,469,721	20,485,463	25,984,258
Wednesday, June 14, 2017	118,453,379	84,521,064	33,932,315	43,521,062	17,201,282	26,319,781
Tuesday, June 13, 2017	115,483,139	84,524,726	30,958,413	45,458,112	19,130,940	26,327,172
Monday, June 12, 2017	117,608,028	85,692,230	31,915,798	45,125,888	18,496,272	26,629,616
Friday, June 09, 2017	117,729,281	85,184,072	32,545,209	46,171,187	19,752,239	26,418,948
Thursday, June 08, 2017	117,304,514	84,436,276	32,868,238	45,401,277	19,068,650	26,332,627

 UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Wednesday, June 07, 2017	117,497,869	84,628,944	32,868,925	44,827,386	17,889,255	26,938,131
Tuesday, June 06, 2017	118,084,060	84,313,059	33,771,001	44,875,250	17,904,680	26,970,570
Monday, June 05, 2017	118,393,036	84,165,622	34,227,414	46,533,300	19,543,906	26,989,394
Friday, June 02, 2017	115,157,347	82,795,330	32,362,017	46,146,326	18,799,607	27,346,719
Thursday, June 01, 2017	116,143,689	84,621,216	31,522,473	47,850,016	20,515,665	27,334,351
Wednesday, May 31, 2017	128,792,024	95,232,029	33,559,995	37,648,793	10,313,342	27,335,451
Tuesday, May 30, 2017	129,500,455	96,614,180	32,886,275	37,558,239	10,211,690	27,346,549
Monday, May 29, 2017	126,956,003	95,767,161	31,188,842	38,018,737	10,425,943	27,592,794
Friday, May 26, 2017	128,238,994	95,390,062	32,848,932	39,557,287	12,177,351	27,379,936
Thursday, May 25, 2017	119,614,480	85,656,471	33,958,009	40,589,701	13,189,314	27,400,387
Wednesday, May 24, 2017	121,441,779	85,107,121	36,334,658	41,191,812	13,911,976	27,279,836
Tuesday, May 23, 2017	122,733,027	85,965,984	36,767,043	41,267,450	14,126,820	27,140,630
Monday, May 22, 2017	123,065,094	85,852,091	37,213,003	41,013,488	13,730,289	27,283,199
Friday, May 19, 2017	135,298,874	97,916,945	37,381,929	40,432,232	13,292,959	27,139,273
Thursday, May 18, 2017	137,772,497	100,363,870	37,408,627	39,478,859	12,335,698	27,143,161
Wednesday, May 17, 2017	138,799,187	101,912,288	36,886,899	40,361,714	13,213,399	27,148,315
Tuesday, May 16, 2017	132,648,483	95,396,005	37,252,478	41,188,841	14,052,037	27,136,804
Monday, May 15, 2017	132,964,787	95,479,758	37,485,029	41,167,617	14,081,191	27,086,426
Friday, May 12, 2017	134,371,418	97,152,734	37,218,684	40,357,509	13,279,814	27,077,695
Thursday, May 11, 2017	134,639,163	97,425,666	37,213,497	41,014,915	13,940,058	27,074,857
Monday, March 20, 2017	132,251,691	94,732,033	37,519,658	33,460,213	6,367,701	27,092,512