

 UBS FINANCIAL SERVICES INC.

Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Friday, September 22, 2017	113,291,552	80,231,984	33,059,568	32,055,748	5,361,834	26,693,913
Thursday, September 21, 2017	113,739,220	81,054,404	32,684,816	32,200,936	5,485,995	26,714,941
Wednesday, September 20, 2017	113,474,711	80,352,153	33,122,558	32,331,328	5,645,216	26,686,112
Tuesday, September 19, 2017	112,947,549	79,806,430	33,141,119	32,413,378	5,684,862	26,728,517
Monday, September 18, 2017	113,605,176	79,312,300	34,292,876	32,364,803	5,656,647	26,708,156
Friday, September 15, 2017	113,961,555	79,823,456	34,138,099	32,187,914	5,483,752	26,704,162
Thursday, September 14, 2017	117,321,539	80,622,810	36,698,729	32,049,771	5,251,032	26,798,739
Wednesday, September 13, 2017	115,232,580	81,069,395	34,163,185	32,204,816	5,078,511	27,126,304
Tuesday, September 12, 2017	111,054,527	79,208,154	31,846,373	32,656,035	5,546,423	27,109,613
Monday, September 11, 2017	112,124,236	77,366,932	34,757,304	32,764,517	5,666,097	27,098,420
Friday, September 08, 2017	109,161,602	78,635,377	30,526,225	32,526,534	5,298,370	27,228,164
Thursday, September 07, 2017	109,528,687	77,553,082	31,975,605	33,241,639	5,904,933	27,336,706
Wednesday, September 06, 2017	110,470,275	77,901,242	32,569,033	33,319,459	6,168,050	27,151,409
Tuesday, September 05, 2017	111,095,083	78,146,521	32,948,562	33,326,794	6,120,122	27,206,671
Monday, September 04, 2017	110,341,995	76,553,550	33,788,445	33,511,888	6,577,021	26,934,867
Friday, September 01, 2017	110,348,394	76,558,727	33,789,667	33,257,027	6,337,561	26,919,466
Thursday, August 31, 2017	110,473,548	76,657,100	33,816,448	33,040,309	6,104,955	26,935,353
Wednesday, August 30, 2017	113,094,861	79,832,510	33,262,351	32,926,666	5,950,381	26,976,285
Tuesday, August 29, 2017	112,977,352	79,273,135	33,704,217	34,505,112	5,960,075	28,545,037
Monday, August 28, 2017	114,169,321	80,398,730	33,770,591	34,127,117	5,572,657	28,554,459
Friday, August 25, 2017	115,117,654	81,925,781	33,191,873	34,121,693	5,610,066	28,511,626
Thursday, August 24, 2017	113,234,400	82,256,570	30,977,830	34,221,270	6,086,486	28,134,784
Wednesday, August 23, 2017	113,261,390	82,028,940	31,232,450	33,782,231	5,601,107	28,181,124
Tuesday, August 22, 2017	112,704,211	80,976,708	31,727,503	33,875,869	5,757,021	28,118,849
Monday, August 21, 2017	115,105,511	83,298,228	31,807,283	33,904,192	5,861,914	28,042,279
Friday, August 18, 2017	116,231,487	83,910,821	32,320,666	33,561,598	5,514,905	28,046,694
Thursday, August 17, 2017	116,128,366	83,991,616	32,136,750	33,594,101	5,553,371	28,040,730
Wednesday, August 16, 2017	112,299,806	78,650,522	33,649,284	33,820,922	5,909,823	27,911,099
Tuesday, August 15, 2017	114,434,179	80,144,226	34,289,953	33,161,009	5,605,482	27,555,527
Monday, August 14, 2017	114,600,594	79,714,546	34,886,048	33,195,565	6,016,929	27,178,636
Friday, August 11, 2017	116,620,423	79,426,397	37,194,026	35,231,906	6,016,934	29,214,971
Thursday, August 10, 2017	117,037,777	80,470,744	36,567,033	35,363,840	6,172,246	29,191,593
Wednesday, August 09, 2017	113,417,147	76,379,877	37,037,270	35,524,485	6,344,118	29,180,367
Tuesday, August 08, 2017	113,413,530	78,989,479	34,424,051	35,735,372	6,926,381	28,808,991
Monday, August 07, 2017	112,999,499	78,416,012	34,583,487	35,337,423	6,509,474	28,827,949
Friday, August 04, 2017	111,346,033	80,537,920	30,808,113	35,112,773	6,297,102	28,815,671
Thursday, August 03, 2017	111,149,448	80,207,143	30,942,305	35,026,684	6,281,692	28,744,992
Wednesday, August 02, 2017	110,733,920	79,651,378	31,082,542	35,096,359	6,355,889	28,740,470
Tuesday, August 01, 2017	111,061,174	79,988,921	31,072,253	35,030,366	6,314,683	28,715,683
Monday, July 31, 2017	112,529,176	79,294,517	33,234,659	35,048,436	6,853,984	28,194,452

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Friday, July 28, 2017	112,721,926	79,031,608	33,690,318	34,805,762	6,657,073	28,148,689
Thursday, July 27, 2017	113,076,064	79,243,791	33,832,273	34,778,546	6,684,185	28,094,361
Wednesday, July 26, 2017	112,537,668	78,613,990	33,923,678	34,852,094	6,824,476	28,027,618
Tuesday, July 25, 2017	113,233,048	79,241,518	33,991,530	34,365,721	7,313,895	27,051,825
Monday, July 24, 2017	115,650,942	81,030,347	34,620,595	33,236,386	6,297,496	26,938,890
Friday, July 21, 2017	115,559,273	80,862,307	34,696,966	33,129,839	6,176,701	26,953,138
Thursday, July 20, 2017	116,155,387	82,012,015	34,143,372	32,949,630	5,989,119	26,960,511
Wednesday, July 19, 2017	116,675,848	81,701,657	34,974,191	32,978,672	5,982,274	26,996,398
Tuesday, July 18, 2017	115,667,996	82,847,207	32,820,789	33,266,824	6,391,637	26,875,187
Monday, July 17, 2017	116,375,876	83,639,380	32,736,496	33,237,315	6,240,924	26,996,391
Friday, July 14, 2017	117,455,220	84,023,638	33,431,582	32,926,546	6,147,447	26,779,099
Thursday, July 13, 2017	118,939,187	85,589,028	33,350,159	32,686,590	5,866,228	26,820,362
Wednesday, July 12, 2017	119,531,895	85,916,597	33,615,298	34,806,818	5,889,097	28,917,721
Tuesday, July 11, 2017	120,993,922	87,089,121	33,904,801	37,820,908	5,827,303	31,993,605
Monday, July 10, 2017	121,812,217	88,019,064	33,793,153	41,518,131	5,690,356	35,827,775
Friday, July 07, 2017	122,170,034	87,980,160	34,189,874	41,629,027	10,441,071	31,187,956
Thursday, July 06, 2017	123,695,375	90,058,247	33,637,128	44,700,568	10,477,929	34,222,639
Wednesday, July 05, 2017	124,374,527	91,571,384	32,803,143	48,406,739	10,195,512	38,211,227
Tuesday, July 04, 2017	126,196,694	89,165,049	37,031,645	48,583,225	10,378,796	38,204,429
Monday, July 03, 2017	126,206,639	89,174,049	37,032,590	48,768,548	10,563,755	38,204,793
Friday, June 30, 2017	122,349,028	88,667,637	33,681,391	53,734,125	10,661,302	43,072,823
Thursday, June 29, 2017	121,757,318	89,268,362	32,488,956	53,678,033	27,163,912	26,514,121
Wednesday, June 28, 2017	119,408,770	86,356,523	33,052,247	51,333,796	24,971,878	26,361,918
Tuesday, June 27, 2017	121,615,295	88,228,551	33,386,744	50,172,720	23,968,430	26,204,290
Monday, June 26, 2017	120,373,668	86,571,046	33,802,622	44,964,214	18,987,178	25,977,036
Friday, June 23, 2017	118,607,259	86,026,383	32,580,876	45,213,454	19,236,060	25,977,394
Thursday, June 22, 2017	119,279,196	86,442,059	32,837,137	44,957,249	18,876,209	26,081,040
Wednesday, June 21, 2017	119,627,097	86,091,320	33,535,777	45,303,658	19,222,359	26,081,299
Tuesday, June 20, 2017	120,735,318	86,425,718	34,309,600	45,085,999	19,360,030	25,725,969
Monday, June 19, 2017	116,133,786	85,047,684	31,086,102	46,560,626	20,588,071	25,972,555
Friday, June 16, 2017	118,502,117	85,435,215	33,066,902	46,003,458	20,018,263	25,985,195
Thursday, June 15, 2017	118,813,833	85,534,067	33,279,766	46,469,721	20,485,463	25,984,258
Wednesday, June 14, 2017	118,453,379	84,521,064	33,932,315	43,521,062	17,201,282	26,319,781
Tuesday, June 13, 2017	115,483,139	84,524,726	30,958,413	45,458,112	19,130,940	26,327,172
Monday, June 12, 2017	117,608,028	85,692,230	31,915,798	45,125,888	18,496,272	26,629,616
Friday, June 09, 2017	117,729,281	85,184,072	32,545,209	46,171,187	19,752,239	26,418,948
Thursday, June 08, 2017	117,304,514	84,436,276	32,868,238	45,401,277	19,068,650	26,332,627
Wednesday, June 07, 2017	117,497,869	84,628,944	32,868,925	44,827,386	17,889,255	26,938,131
Tuesday, June 06, 2017	118,084,060	84,313,059	33,771,001	44,875,250	17,904,680	26,970,570
Monday, June 05, 2017	118,393,036	84,165,622	34,227,414	46,533,300	19,543,906	26,989,394

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Friday, June 02, 2017	115,157,347	82,795,330	32,362,017	46,146,326	18,799,607	27,346,719
Thursday, June 01, 2017	116,143,689	84,621,216	31,522,473	47,850,016	20,515,665	27,334,351
Wednesday, May 31, 2017	128,792,024	95,232,029	33,559,995	37,648,793	10,313,342	27,335,451
Tuesday, May 30, 2017	129,500,455	96,614,180	32,886,275	37,558,239	10,211,690	27,346,549
Monday, May 29, 2017	126,956,003	95,767,161	31,188,842	38,018,737	10,425,943	27,592,794
Friday, May 26, 2017	128,238,994	95,390,062	32,848,932	39,557,287	12,177,351	27,379,936
Thursday, May 25, 2017	119,614,480	85,656,471	33,958,009	40,589,701	13,189,314	27,400,387
Wednesday, May 24, 2017	121,441,779	85,107,121	36,334,658	41,191,812	13,911,976	27,279,836
Tuesday, May 23, 2017	122,733,027	85,965,984	36,767,043	41,267,450	14,126,820	27,140,630
Monday, May 22, 2017	123,065,094	85,852,091	37,213,003	41,013,488	13,730,289	27,283,199
Friday, May 19, 2017	135,298,874	97,916,945	37,381,929	40,432,232	13,292,959	27,139,273
Thursday, May 18, 2017	137,772,497	100,363,870	37,408,627	39,478,859	12,335,698	27,143,161
Wednesday, May 17, 2017	138,799,187	101,912,288	36,886,899	40,361,714	13,213,399	27,148,315
Tuesday, May 16, 2017	132,648,483	95,396,005	37,252,478	41,188,841	14,052,037	27,136,804
Monday, May 15, 2017	132,964,787	95,479,758	37,485,029	41,167,617	14,081,191	27,086,426
Friday, May 12, 2017	134,371,418	97,152,734	37,218,684	40,357,509	13,279,814	27,077,695
Thursday, May 11, 2017	134,639,163	97,425,666	37,213,497	41,014,915	13,940,058	27,074,857
Wednesday, May 10, 2017	134,016,374	97,074,195	36,942,179	40,577,278	13,501,907	27,075,370
Tuesday, May 09, 2017	133,400,615	97,261,845	36,138,770	41,117,583	14,042,084	27,075,499
Monday, May 08, 2017	133,168,708	95,835,025	37,333,683	40,736,776	13,615,779	27,120,996
Friday, May 05, 2017	133,053,877	95,730,430	37,323,447	41,161,171	14,036,996	27,124,175
Thursday, May 04, 2017	133,424,577	98,715,612	34,708,965	40,305,931	12,975,801	27,330,130
Wednesday, May 03, 2017	129,507,939	95,415,711	34,092,228	39,415,183	11,781,959	27,633,224
Tuesday, May 02, 2017	123,573,829	90,717,287	32,856,542	40,515,602	12,885,728	27,629,874
Monday, May 01, 2017	125,055,592	91,437,554	33,618,038	39,986,947	12,878,839	27,108,108
Friday, April 28, 2017	126,564,690	92,263,734	34,300,956	39,986,843	13,096,886	26,889,957
Thursday, April 27, 2017	126,184,830	91,878,627	34,306,203	40,466,207	13,577,378	26,888,829
Wednesday, April 26, 2017	126,838,182	93,056,379	33,781,803	40,654,812	13,765,750	26,889,062
Tuesday, April 25, 2017	126,963,739	92,649,485	34,314,254	40,994,931	14,186,085	26,808,846
Monday, April 24, 2017	121,462,593	88,438,790	33,023,803	40,162,922	13,332,781	26,830,141
Friday, April 21, 2017	125,337,758	91,940,357	33,397,401	37,958,978	11,138,232	26,820,746
Thursday, April 20, 2017	128,058,966	93,484,426	34,574,540	30,603,626	5,446,547	25,157,079
Wednesday, April 19, 2017	124,911,770	90,524,642	34,387,128	31,085,567	6,103,467	24,982,100
Tuesday, April 18, 2017	128,035,567	93,867,184	34,168,383	30,551,549	4,867,926	25,683,623
Monday, April 17, 2017	125,681,782	92,858,281	32,823,501	31,395,651	5,716,151	25,679,500
Friday, April 14, 2017	127,579,621	94,742,975	32,836,646	31,394,910	5,669,106	25,725,804
Thursday, April 13, 2017	127,575,530	94,739,993	32,835,537	31,394,086	5,668,650	25,725,436
Wednesday, April 12, 2017	127,539,663	95,250,959	32,288,704	31,085,176	4,843,348	26,241,828
Tuesday, April 11, 2017	127,633,575	93,668,356	33,965,219	31,774,912	5,446,118	26,328,794
Monday, April 10, 2017	127,620,218	93,994,194	33,626,024	31,773,118	5,319,164	26,453,954

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Friday, April 07, 2017	129,192,996	94,643,009	34,549,987	32,367,479	5,853,595	26,513,884
Thursday, April 06, 2017	129,249,796	94,203,369	35,046,427	32,641,985	6,121,859	26,520,126
Wednesday, April 05, 2017	130,648,628	95,188,447	35,460,181	32,948,842	6,358,610	26,590,232
Tuesday, April 04, 2017	128,078,603	92,672,586	35,406,017	32,240,878	5,634,716	26,606,162
Monday, April 03, 2017	128,246,580	93,154,949	35,091,631	32,119,602	5,400,333	26,719,269
Friday, March 31, 2017	128,974,174	93,715,913	35,258,261	32,789,339	6,085,447	26,703,892
Thursday, March 30, 2017	128,908,298	92,479,355	36,428,943	33,597,515	6,919,978	26,677,537
Wednesday, March 29, 2017	129,598,311	92,749,461	36,848,850	33,367,036	6,685,121	26,681,915
Tuesday, March 28, 2017	130,417,917	93,412,589	37,005,328	33,210,893	6,526,085	26,684,808
Monday, March 27, 2017	132,527,045	95,184,156	37,342,889	32,565,686	5,687,335	26,878,351
Friday, March 24, 2017	133,837,014	96,293,395	37,543,619	32,946,153	6,070,534	26,875,619
Thursday, March 23, 2017	134,963,138	95,925,698	39,037,440	33,051,529	6,177,041	26,874,488
Wednesday, March 22, 2017	134,577,594	95,811,598	38,765,996	33,029,831	6,128,781	26,901,050
Tuesday, March 21, 2017	135,085,795	98,630,769	36,455,026	32,902,278	5,804,009	27,098,269
Monday, March 20, 2017	132,251,691	94,732,033	37,519,658	33,460,213	6,367,701	27,092,512
Friday, March 17, 2017	131,083,977	93,152,344	37,931,633	33,756,944	6,764,676	26,992,268
Thursday, March 16, 2017	130,648,516	92,350,663	38,297,853	33,542,812	6,920,732	26,622,080
Wednesday, March 15, 2017	128,231,501	90,044,746	38,186,755	33,235,485	6,708,778	26,526,707
Tuesday, March 14, 2017	131,330,455	93,187,718	38,142,737	32,995,193	6,688,383	26,306,810
Monday, March 13, 2017	130,101,584	92,725,541	37,376,043	32,884,204	6,847,551	26,036,653
Friday, March 10, 2017	128,245,815	92,526,127	35,719,688	32,231,486	6,067,942	26,163,544
Thursday, March 09, 2017	130,262,740	94,870,751	35,391,989	31,860,713	5,244,348	26,616,365
Wednesday, March 08, 2017	130,709,012	95,325,224	35,383,788	32,222,360	5,565,253	26,657,107
Tuesday, March 07, 2017	130,496,648	95,117,495	35,379,153	32,139,346	5,197,561	26,941,785
Monday, March 06, 2017	131,153,004	96,596,756	34,556,248	32,741,044	5,727,952	27,013,092
Friday, March 03, 2017	130,793,396	95,587,616	35,205,780	33,102,952	6,090,185	27,012,767
Thursday, March 02, 2017	130,816,521	95,479,583	35,336,938	33,082,669	6,061,758	27,020,911
Wednesday, March 01, 2017	128,778,250	92,115,668	36,662,582	33,671,899	6,730,019	26,941,880
Tuesday, February 28, 2017	123,734,821	92,776,924	30,957,897	33,219,597	6,298,135	26,921,462
Monday, February 27, 2017	123,472,726	91,801,579	31,671,147	32,983,210	6,050,461	26,932,749
Friday, February 24, 2017	124,017,613	90,893,753	33,123,860	32,975,895	5,969,468	27,006,427
Thursday, February 23, 2017	125,284,454	92,495,076	32,789,378	32,587,906	5,054,613	27,533,293
Wednesday, February 22, 2017	116,596,449	85,278,217	31,318,232	33,827,660	6,226,435	27,601,225
Tuesday, February 21, 2017	116,915,372	85,438,975	31,476,397	34,037,025	6,510,812	27,526,213
Monday, February 20, 2017	118,998,500	85,889,704	33,108,796	34,083,288	6,508,217	27,575,071
Friday, February 17, 2017	118,992,891	85,887,235	33,105,656	33,338,151	5,764,595	27,573,556
Thursday, February 16, 2017	119,842,433	86,403,472	33,438,961	33,716,154	6,135,085	27,581,069
Wednesday, February 15, 2017	116,253,295	85,692,556	30,560,739	34,193,005	6,621,031	27,571,974
Tuesday, February 14, 2017	117,669,366	83,914,143	33,755,223	33,964,949	11,016,158	22,948,791
Monday, February 13, 2017	119,586,374	84,975,618	34,610,756	34,496,123	6,884,364	27,611,759

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Friday, February 10, 2017	114,568,269	82,604,587	31,963,682	34,390,786	7,644,411	26,746,375
Thursday, February 09, 2017	117,782,302	84,846,952	32,935,350	32,494,337	5,978,472	26,515,865
Wednesday, February 08, 2017	116,679,741	84,469,826	32,209,915	33,027,280	6,802,702	26,224,578
Tuesday, February 07, 2017	117,402,179	84,445,080	32,957,099	32,434,286	6,208,871	26,225,415
Monday, February 06, 2017	113,622,604	84,323,868	29,298,736	32,790,751	6,563,428	26,227,323
Friday, February 03, 2017	114,045,379	84,296,661	29,748,718	32,284,986	5,447,387	26,837,599
Thursday, February 02, 2017	117,021,653	86,297,027	30,724,626	33,210,218	6,302,209	26,908,009
Wednesday, February 01, 2017	117,508,971	86,578,541	30,930,430	33,744,982	6,763,000	26,981,982
Tuesday, January 31, 2017	116,990,572	86,168,918	30,821,654	33,994,283	7,012,495	26,981,788
Monday, January 30, 2017	118,063,285	86,958,382	31,104,903	32,685,469	5,578,027	27,107,442
Friday, January 27, 2017	115,287,861	84,042,322	31,245,539	33,205,551	6,096,303	27,109,248
Thursday, January 26, 2017	115,339,654	84,255,234	31,084,420	33,969,622	5,859,573	28,110,049
Wednesday, January 25, 2017	114,485,585	81,457,567	33,028,018	34,591,608	6,478,895	28,112,713
Tuesday, January 24, 2017	114,328,559	81,208,384	33,120,175	34,524,310	6,705,688	27,818,622
Monday, January 23, 2017	116,323,851	82,423,213	33,900,638	33,494,591	5,672,085	27,822,506
Friday, January 20, 2017	116,402,535	81,897,274	34,505,261	33,246,269	5,420,500	27,825,769
Thursday, January 19, 2017	116,997,687	84,325,998	32,671,689	33,135,179	5,273,367	27,861,812
Wednesday, January 18, 2017	115,933,323	84,245,979	31,687,344	33,302,577	5,432,872	27,869,705
Tuesday, January 17, 2017	116,542,252	85,167,314	31,374,938	33,086,262	4,605,863	28,480,399
Monday, January 16, 2017	115,967,860	82,566,450	33,401,410	33,824,888	5,636,942	28,187,946
Friday, January 13, 2017	115,976,819	82,567,177	33,409,642	34,170,106	5,977,179	28,192,927
Thursday, January 12, 2017	117,572,178	84,128,184	33,443,994	33,660,676	6,297,296	27,363,380
Wednesday, January 11, 2017	116,918,001	82,846,206	34,071,795	32,958,331	5,596,116	27,362,215
Tuesday, January 10, 2017	120,266,123	83,499,440	36,766,683	33,296,367	6,521,135	26,775,232
Monday, January 09, 2017	121,222,924	84,117,264	37,105,660	32,314,370	5,569,194	26,745,176
Friday, January 06, 2017	121,163,514	83,523,269	37,640,245	32,234,379	5,489,493	26,744,886
Thursday, January 05, 2017	122,107,305	84,896,601	37,210,704	32,119,556	5,368,995	26,750,561
Wednesday, January 04, 2017	121,267,890	83,996,071	37,271,819	32,489,975	6,054,922	26,435,053
Tuesday, January 03, 2017	123,075,322	85,915,516	37,159,806	31,505,125	5,074,275	26,430,850
Friday, December 30, 2016	125,526,186	87,719,071	37,807,115	31,713,501	5,274,561	26,438,940
Thursday, December 29, 2016	124,353,284	86,653,154	37,700,130	31,322,194	4,844,587	26,477,607
Wednesday, December 28, 2016	124,851,322	86,498,827	38,352,495	31,797,296	5,224,357	26,572,939
Tuesday, December 27, 2016	123,347,992	85,020,881	38,327,111	31,389,310	4,811,046	26,578,264
Monday, December 26, 2016	123,531,416	85,659,679	37,871,737	31,439,680	4,560,599	26,879,081
Friday, December 23, 2016	123,528,340	85,657,284	37,871,056	31,439,679	4,560,721	26,878,958
Thursday, December 22, 2016	128,173,323	85,935,265	42,238,058	31,884,831	5,006,550	26,878,281
Wednesday, December 21, 2016	132,211,302	85,831,472	46,379,830	32,532,696	4,962,935	27,569,761
Tuesday, December 20, 2016	131,459,462	84,960,026	46,499,436	32,518,956	4,659,662	27,859,294
Monday, December 19, 2016	119,245,742	87,783,823	31,461,919	32,421,202	3,950,672	28,470,530
Friday, December 16, 2016	121,818,345	88,744,265	33,074,080	32,820,531	4,519,804	28,300,727

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Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Thursday, December 15, 2016	120,492,585	85,543,762	34,948,823	33,540,897	5,089,933	28,450,964
Wednesday, December 14, 2016	122,450,243	88,486,349	33,963,894	33,113,470	4,939,660	28,173,810
Tuesday, December 13, 2016	120,672,615	86,928,611	33,744,004	33,124,372	4,306,049	28,818,323
Monday, December 12, 2016	118,887,197	87,473,714	31,413,483	33,593,994	4,743,899	28,850,095
Friday, December 09, 2016	118,386,808	86,167,804	32,219,004	36,745,875	4,907,554	31,838,321
Thursday, December 08, 2016	120,636,719	86,912,185	33,724,534	39,521,906	4,633,528	34,888,378
Wednesday, December 07, 2016	118,648,314	85,430,445	33,217,869	42,648,791	4,780,567	37,868,224
Tuesday, December 06, 2016	114,923,487	85,934,872	28,988,615	33,259,202	5,368,371	27,890,831
Monday, December 05, 2016	115,968,548	85,338,625	30,629,923	33,436,172	5,872,210	27,563,962
Friday, December 02, 2016	117,492,704	86,215,746	31,276,958	32,306,636	4,751,314	27,555,322
Thursday, December 01, 2016	117,643,990	85,040,930	32,603,060	32,613,701	5,223,063	27,390,638
Wednesday, November 30, 2016	116,818,587	84,253,893	32,564,694	32,026,413	4,042,944	27,983,469
Tuesday, November 29, 2016	116,818,586	84,253,892	32,564,694	32,026,468	4,042,943	27,983,525
Monday, November 28, 2016	118,101,736	83,974,779	34,126,957	33,153,675	5,190,972	27,962,703
Friday, November 25, 2016	110,613,485	81,223,345	29,390,140	33,042,886	5,855,168	27,187,718
Thursday, November 24, 2016	112,502,637	82,751,877	29,750,760	33,005,876	5,962,736	27,043,140
Wednesday, November 23, 2016	112,511,319	85,380,534	27,130,785	32,446,065	5,409,742	27,036,323
Tuesday, November 22, 2016	112,250,244	82,024,223	30,226,021	31,589,293	4,718,878	26,870,415
Monday, November 21, 2016	113,877,456	83,435,619	30,441,837	31,389,757	4,529,647	26,860,110
Friday, November 18, 2016	114,293,409	83,300,920	30,992,489	30,802,582	3,770,116	27,032,466
Thursday, November 17, 2016	114,259,732	82,063,533	32,196,199	31,061,965	4,064,552	26,997,413
Wednesday, November 16, 2016	115,499,081	83,410,124	32,088,957	30,888,840	3,493,281	27,395,559
Tuesday, November 15, 2016	115,458,126	82,022,111	33,436,015	31,322,451	4,119,043	27,203,408
Monday, November 14, 2016	116,986,390	84,635,433	32,350,957	31,471,889	4,267,371	27,204,518
Friday, November 11, 2016	117,237,270	84,701,761	32,535,509	31,126,874	4,139,968	26,986,906
Thursday, November 10, 2016	117,093,395	84,614,387	32,479,008	31,030,669	3,965,225	27,065,444
Wednesday, November 09, 2016	116,794,177	83,289,073	33,505,104	30,822,387	4,058,751	26,763,636
Tuesday, November 08, 2016	117,391,768	83,605,880	33,785,888	30,072,574	3,649,482	26,423,092
Monday, November 07, 2016	118,101,382	82,603,505	35,497,877	29,702,110	4,305,517	25,396,593
Friday, November 04, 2016	122,094,209	87,472,616	34,621,593	29,350,341	4,248,406	25,101,935
Thursday, November 03, 2016	121,527,966	87,546,891	33,981,075	29,348,532	5,331,020	24,017,512
Wednesday, November 02, 2016	120,703,466	87,480,199	33,223,267	28,911,062	5,342,705	23,568,357
Tuesday, November 01, 2016	120,344,189	87,799,063	32,545,126	28,899,879	5,396,745	23,503,134
Monday, October 31, 2016	118,580,854	85,696,088	32,884,766	28,506,933	5,487,788	23,019,145
Friday, October 28, 2016	117,938,900	85,723,133	32,215,767	28,297,799	5,337,632	22,960,167
Thursday, October 27, 2016	117,833,636	85,380,959	32,452,677	28,252,490	5,364,930	22,887,560
Wednesday, October 26, 2016	115,895,065	83,385,534	32,509,531	28,016,157	5,132,568	22,883,589
Tuesday, October 25, 2016	114,996,033	81,608,521	33,387,512	27,874,403	5,291,811	22,582,592
Monday, October 24, 2016	114,187,200	81,452,903	32,734,297	27,530,123	4,930,335	22,599,788
Friday, October 21, 2016	114,620,092	81,950,362	32,669,730	27,394,453	4,732,005	22,662,448

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Date	Customers' Assets held in Segregation	Segregated Funds Required pursuant to CEA 4(d)(a)(2)	Excess Funds held in Segregation	Customers' Assets held in Secured 30.7 Accounts	Secured Funds Required pursuant to 30.7	Excess Funds in Secured 30.7 Accounts
Thursday, October 20, 2016	113,877,877	81,086,232	32,791,645	27,485,031	4,775,622	22,709,409
Wednesday, October 19, 2016	113,062,519	79,930,760	33,131,759	27,680,678	4,919,094	22,761,584
Tuesday, October 18, 2016	114,513,291	80,795,547	33,717,744	27,709,114	4,913,900	22,795,214
Monday, October 17, 2016	115,169,365	81,918,506	33,250,859	27,867,097	5,074,223	22,792,874
Friday, October 14, 2016	114,629,941	82,478,763	32,151,178	27,682,518	4,632,114	23,050,404
Thursday, October 13, 2016	114,516,747	82,221,997	32,294,750	27,882,166	4,713,454	23,168,712
Wednesday, October 12, 2016	116,891,351	83,543,721	33,347,630	28,075,126	5,006,254	23,068,872
Tuesday, October 11, 2016	116,994,388	83,224,288	33,770,100	27,896,428	4,899,582	22,996,846
Monday, October 10, 2016	116,525,278	82,325,404	34,199,874	28,094,327	5,147,413	22,946,914
Friday, October 07, 2016	117,407,884	84,095,253	33,312,631	28,036,158	5,083,940	22,952,218
Thursday, October 06, 2016	115,962,862	82,546,226	33,416,636	27,928,750	4,873,165	23,055,585
Wednesday, October 05, 2016	116,369,786	83,049,940	33,319,846	28,032,822	5,098,438	22,934,384
Tuesday, October 04, 2016	117,248,630	84,067,370	33,181,260	27,886,627	4,694,865	23,191,762
Monday, October 03, 2016	115,338,675	82,342,378	32,996,297	28,242,342	4,969,530	23,272,812
Friday, September 30, 2016	115,649,712	81,530,491	34,119,221	28,378,556	5,000,664	23,377,892
Thursday, September 29, 2016	117,296,960	82,192,349	35,104,611	28,354,486	3,817,920	24,536,566
Wednesday, September 28, 2016	116,231,732	80,821,083	35,410,649	28,409,254	3,816,492	24,592,762
Tuesday, September 27, 2016	117,269,755	83,178,631	34,091,124	28,382,915	3,276,441	25,106,474
Monday, September 26, 2016	116,768,768	80,904,444	35,864,324	28,456,797	3,431,633	25,025,164
Friday, September 23, 2016	117,949,880	82,787,181	35,162,699	28,441,045	3,348,012	25,093,033